

REPRESENTATION FORMULAS OF SOLUTION FOR A PERTURBED
CONTROLLED FUNCTIONAL-DIFFERENTIAL EQUATION CONSIDERING
VARIATION OF THE INITIAL MOMENT AND CONTINUITY OF THE INITIAL
CONDITION

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Abstract. The analytic representation formulas of solution are proved for the nonlinear perturbed controlled functional-differential equation with a delay parameter. In formulas the effects of the continuous initial condition and variation of the initial moment are revealed. The representation formula of solution plays an important role in the investigation of optimization problems, allows one to get an approximate solution of the perturbed equation and to carry out a sensitivity analysis of mathematical models.

Keywords and phrases: Controlled functional-differential equation, representation formula of solution, continuous initial condition, perturbations.

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1. Introduction

In the present paper is found an analytic relation (representation formula) between solution of the original Cauchy problem

$$\begin{aligned}\dot{x}(t) &= f(t, x(t), x(t - \tau_0), u_0(t), u_0(t - \theta)), t \in [t_{00}, t_1], \\ x(t) &= \varphi_0(t), t \leq t_{00}\end{aligned}\tag{1.1}$$

and solution of corresponding perturbed (with respect to initial moment t_{00} , delay τ_0 , initial function $\varphi_0(t)$ and control function $u_0(t)$) problem are found. Continuity at the initial moment means that at the initial moment values of the initial function and trajectory always coincide. The representation formula of solution plays an important role in the investigation of optimization problems [1-8] and in carrying out a sensitivity analysis of mathematical Models [9-11]. Finally, we note that the case when equation (1.1) does not contain $u_0(t - \theta)$ and the initial moment is fixed the representation formulas of solution are proved in [12, 13].

2. Formulation of main results

Let \mathbb{R}^n be the n -dimensional vector space of points $x = (x^1, \dots, x^n)^T$ and let $O \subset \mathbb{R}^n$, $U \subset \mathbb{R}^r$ be convex, open and bounded sets; let $t_1 > t_{02} > t_{01}$, $\tau_2 > \tau_1 > 0$ and let $\theta > 0$ be given numbers, with $t_{02} + \tau_2 < t_1$. Suppose that the n -dimensional function $f(t, x, y, u, v)$ is continuous on the set $I \times O^2 \times U^2$, where $I = [t_{01}, t_1]$ and continuously differentiable with respect to x, y, u, v . It is clear that, for the compact sets $K_0 \subset O$ and $U_0 \subset U$ there exists a number $M_0 = M_0(K_0, U_0) > 0$ such that

$$\begin{aligned}|f(t, x, y, u, v)| + |f_x(\cdot)| + |f_y(\cdot)| + |f_u(\cdot)| + |f_v(\cdot)| &\leq M_0, \\ \forall(t, x, y, u, v) \in I \times K_0^2 \times U_0^2.\end{aligned}\tag{2.1}$$

Further, denote by Φ and Ω the sets of continuous differentiable functions $\varphi(t) \in O, t \in [\hat{\tau}, t_{02}]$, where $\hat{\tau} = t_{01} - \max\{\tau_2, \theta\}$ and piecewise continuous functions $u(t) \in U, t \in I_1 = [\hat{\tau}, t_1]$, respectively, with the set $clu(I_1) \subset U$.

To each element

$$w = (t_0, \tau, \varphi(t), u(t)) \in W = (t_{01}, t_{02}) \times (\tau_1, \tau_2) \times \Phi \times \Omega$$

we assign the controlled functional-differential equation

$$\dot{x}(t) = f(t, x(t), x(t - \tau), u(t), u(t - \theta)), t \in [t_0, t_1] \quad (2.2)$$

with the continuous initial condition

$$x(t) = \varphi(t), t \in [\hat{\tau}, t_0]. \quad (2.3)$$

Definition 2.1. Let $w \in W$, a function $x(t) = x(t; w) \in O, t \in I_1$ is called a solution of equation (2.2) with the condition (2.3) or a solution corresponding to the element w and defined on the interval I_1 if $x(t)$ satisfies condition (2.3) and is absolutely continuous on the interval $[t_0, t_1]$ and satisfies equation (2.2) almost everywhere (a. e.) on $[t_0, t_1]$.

Let us introduce the notations:

$$|w| = |t_0| + |\tau| + \|\varphi\|_1 + \|u\|, W_\varepsilon(w_0) = \left\{ w \in W : |w - w_0| \leq \varepsilon \right\},$$

where

$$\|\varphi\|_1 = \sup \left\{ |\varphi(t)| + |\dot{\varphi}(t)| : t \in [\hat{\tau}, t_{02}] \right\}, \|u\| = \sup \left\{ |u(t)| : t \in I_1 \right\},$$

$\varepsilon > 0$ is a fixed number and $w_0 = (t_{00}, \tau_0, \varphi_0(t), u_0(t)) \in W$ is a fixed element; furthermore,

$$\begin{aligned} \delta t_0 &= t_0 - t_{00}, \delta \tau = \tau - \tau_0, \delta \varphi(t) = \varphi(t) - \varphi_0(t), \delta u(t) = u(t) - u_0(t), \\ \delta w &= w - w_0 = (\delta t_0, \delta \tau, \delta \varphi(t), \delta u(t)), |\delta w| = |\delta t_0| + |\delta \tau| + \|\delta \varphi\|_1 + \|\delta u\|. \end{aligned}$$

Let $x_0(t) = x(t; w_0)$ be a solution corresponding to the element $w_0 = (t_{00}, \tau_0, \varphi_0(t), u_0(t)) \in W$ and defined on the interval I_1 . Then there exists a number $\varepsilon_1 > 0$ such that to each element $w = w_0 + \delta w \in W_{\varepsilon_1}(w_0)$ corresponds the solution $x(t; w_0 + \delta w)$ defined on the interval I_1 (see Theorem 3.1, in Section 3).

Theorem 2.1. Let $x_0(t) = x(t; w_0)$ be a solution corresponding to the element $w_0 = (t_{00}, \tau_0, \varphi_0(t), u_0(t)) \in W$ and defined on the interval I_1 . Then there exists a number $\varepsilon_2 \in (0, \varepsilon_1)$ such that, for arbitrary

$$\delta w \in \delta W_{\varepsilon_2}^- = \left\{ \delta w \in W - w_0 : |\delta w| \leq \varepsilon_2, \delta t_0 \leq 0 \right\}$$

on the interval $[t_{00}, t_1]$ the following representation holds:

$$x(t; w_0 + \delta w) = x_0(t) + \delta x(t; \delta w) + o(t; \delta w), \quad (2.4)$$

where

$$\delta x(t; \delta w) = Y(t_0; t) \left(\dot{\varphi}_0(t_0) - f_0^- \right) \delta t_0 + \beta(t; \delta w) \quad (2.5)$$

and

$$\begin{aligned} \beta(t; \delta w) = & Y(t_0; t) \delta \varphi(t_0) + \int_{t_0 - \tau_0}^{t_0} Y(s + \tau_0; t) f_y[s + \tau_0] \delta \varphi(s) ds \\ & - \left\{ \int_{t_0}^t Y(s; t) f_y[s] \dot{x}_0(s - \tau_0) ds \right\} \delta \tau \\ & + \int_{t_0}^t Y(s; t) \left[f_u[s] \delta u(s) + f_v[s] \delta u(s - \theta) \right] ds. \end{aligned} \quad (2.6)$$

Here

$$\lim_{|\delta w| \rightarrow 0} \frac{o(t; \delta w)}{|\delta w|} = 0 \text{ uniformly for } t \in [t_0, t_1];$$

$$f_0^- = f(t_0, \varphi_0(t_0), \varphi_0(t_0 - \tau_0), u_0(t_0 -), u_0(t_0 - \theta -)), \quad (2.7)$$

$$f_u[s] = \frac{\partial}{\partial u} f(s, x_0(s), x_0(s - \tau_0), u_0(s), u_0(s - \theta));$$

$Y(s; t)$ is the $n \times n$ matrix function satisfying the equation

$$Y_s(s; t) = -Y(s; t) f_x[s] - Y(s + \tau_0; t) f_y[s + \tau_0], \quad s \in [t_0, t], \quad t \in (t_0, t_1] \quad (2.8)$$

and the conditions

$$Y(t; t) = E; \quad Y(s; t) = \Theta, \quad s > t; \quad (2.9)$$

E is the identity matrix and Θ is the zero matrix.

Theorem 2.1 corresponds to the case when the variation at the initial moment t_0 occurs from the left.

Some comments. The function $\delta x(t; \delta w)$ is called the first variation of the solution $x_0(t)$ on the interval $[t_0, t_1]$. The expression (2.5) is called the variation formula of solution. The term “variation formula of solution” has been introduced by Revaz Gamkrelidze and proved for the ordinary differential equation in [1].

The expression

$$Y(t_0; t) \left(\dot{\varphi}_0(t_0) - f_0^- \right) \delta t_0$$

in formula (2.5) is the effect of perturbation of the moment t_0 and the continuous initial condition.

The addend

$$Y(t_{00}; t)\delta\varphi(t_{00}) + \int_{t_{00}-\tau_0}^{t_{00}} Y(s + \tau_0; t)f_y[s + \tau_0]\delta\varphi(s)ds$$

in formula (2.6) is the effect of perturbation of the initial function $\varphi_0(t)$.

The expression

$$-\left\{ \int_{t_{00}}^t Y(s; t)f_y[s]\dot{x}_0(s - \tau_0)ds \right\} \delta\tau$$

in formula (2.6) is the effect of perturbation of the delay parameter τ_0 .

The addend

$$\int_{t_0}^t Y(s; t) \left[f_u[s]\delta u(s) + f_v[s]\delta u(s - \theta) \right] ds$$

in formula (2.6) is the effect of perturbation of the control function $u_0(t)$.

On the basis of the Cauchy formula [6] we conclude that the function

$$\delta x(t) = \begin{cases} \delta\varphi(t), & t \in [\hat{\tau}, t_{00}), \\ (\dot{\varphi}_0(t_{00}) - f_0^-)\delta t_0 + \delta\varphi(t_{00}), & t = t_{00}, \\ \delta x(t; \delta w), & t \in [t_{00}, t_1] \end{cases}$$

satisfies the linear functional-differential equation

$$\begin{aligned} \dot{\delta x}(t) = & f_x[t]\delta x(t) + f_y[t]\delta x(t - \tau_0) - f_y[t]\dot{x}_0(t - \tau_0)\delta\tau \\ & + f_u[t]\delta u(t) + f_v[t]\delta u(t - \theta), t \in (t_{00}, t_1] \end{aligned} \quad (2.10)$$

with the initial condition

$$\delta x(t) = \delta\varphi(t), t \in [\hat{\tau}, t_{00}), \delta x(t_{00}) = (\dot{\varphi}_0(t_{00}) - f_0^-)\delta t_0 + \delta\varphi(t_{00}). \quad (2.11)$$

Formula (2.4) allows us to construct on the interval $[t_{00}, t_1]$ an approximate solution of the perturbed equation

$$\dot{x}(t) = f(t, x(t), x(t - \tau_0 - \delta\tau), u_0(t) + \delta u(t), u_0(t - \theta) + \delta u(t - \theta)) \quad (2.12)$$

with the initial condition

$$x(t) = \varphi_0(t) + \delta\varphi(t), t \in [\hat{\tau}, t_{00} + \delta t_0], \quad (2.13)$$

where $\delta t_0 < 0$.

In fact, for a small $|\delta w|$ from (2.4) for solution $x(t; w_0 + \delta w)$ of the perturbed problem (2.12)-(2.13) we have

$$x(t; w_0 + \delta w) \approx x_0(t) + \delta x(t; \delta w), t \in [t_{00}, t_1].$$

Thus, $x_0(t) + \delta x(t; \delta w)$ can be considered as approximate solution on the interval $[t_{00}, t_1]$. It is clear that, the first variation $\delta x(t; \delta w)$ can be calculated in two ways: first -by finding the solution $Y(s; t)$ of problem (2.8)-(2.9); the other- by finding the solution of the problem (2.10)-(2.11).

Theorem 2.2. *Let $x_0(t) = x(t; w_0)$ be a solution corresponding to the element $w_0 = (t_{00}, \tau_0, \varphi_0(t), u_0(t)) \in W$ and defined on the interval I_1 . Then for each fixed $\hat{t}_0 \in (t_{00}, t_{00} + \delta)$, where $\delta > 0$ and $t_{00} + \delta < t_{02}$ there exists a number $\varepsilon_2 \in (0, \varepsilon_1)$ such that, for arbitrary*

$$\delta w \in \delta W_{\varepsilon_2}^+ = \left\{ \delta w \in W - w_0 : |\delta w| \leq \varepsilon_2, \delta t_0 > 0 \right\}$$

on the interval $[\hat{t}_0, t_1]$ the representation (2.4) holds, where

$$\delta x(t; \delta w) = Y(t_{00}; t) \left(\dot{\varphi}_0(t_{00}) - f_0^+ \right) \delta t_0 + \beta(t; \delta w). \quad (2.14)$$

Theorem 2.2 corresponds to the case when the variation at the point t_{00} occurs from the right.

Theorem 2.3. *Let $x_0(t) = x(t; w_0)$ be a solution corresponding to the element $w_0 = (t_{00}, \tau_0, \varphi_0(t), u_0(t)) \in W$ and defined on the interval I_1 . Moreover, let*

$$f_0^+ = f_0^- := f_0.$$

Then for each fixed $\hat{t}_0 \in (t_{00}, t_{00} + \delta)$, where $\delta > 0$ and $t_{00} + \delta < t_{02}$ there exists a number $\varepsilon_2 \in (0, \varepsilon_1)$ such that, for arbitrary

$$\delta w \in \delta W_{\varepsilon_2} = \left\{ \delta w \in W - w_0 : |\delta w| \leq \varepsilon_2 \right\}$$

on the interval $[\hat{t}_0, t_1]$ the representation (2.4) holds, where

$$\delta x(t; \delta w) = Y(t_{00}; t) \left(\dot{\varphi}_0(t_{00}) - f_0 \right) \delta t_0 + \beta(t; \delta w).$$

Theorem 2.3 corresponds to the case when the variation at point t_{00} occurs from both sides and is a corollary to Theorems 2.1 and 2.2.

3. Auxiliary assertions

Theorem 3.1 ([6], p. 18]. *Let $x_0(t) = x(t; w_0)$ be a solution corresponding to the element $w_0 = (t_{00}, \tau_0, \varphi_0(t), u_0(t)) \in W$ and defined on the interval $I_1 = [\hat{\tau}, t_1]$. Then there exists a number $\varepsilon_1 > 0$ such that to each element $w = w_0 + \delta w \in W_{\varepsilon_1}(w_0)$ corresponds solution $x(t) = x(t; w_0 + \delta w)$ defined on the interval I_1 with $x(t) \in K_1$ and $u_0(t) + \delta u(t) \in U_1$, where $K_1 \subset O$ is a compact set containing a neighborhood of the set $x_0(I_1)$ and $U_1 \subset U$ is a compact set containing a neighborhood of the set $clu_0(I_1)$.*

Theorem 3.1 allows one to introduce the increment of the solution $x_0(t)$ on the interval I_1

$$\begin{aligned} \Delta x(t) &= \Delta x(t; \delta w) = x(t; w_0 + \delta w) - x_0(t), \\ t &\in I_1, \delta w = w - w_0 \in \delta W_{\varepsilon_1}^-. \end{aligned}$$

Theorem 3.2. *There exists a number $\varepsilon_2 \in (0, \varepsilon_1)$ such that*

$$\max_{t \in I_1} |\Delta x(t)| \leq O(\delta w) \quad (3.1)$$

for arbitrary $\delta w \in \delta W_{\varepsilon_2}^-$. Moreover,

$$\Delta x(t_{00}) = \delta \varphi(t_{00}) + \left(\dot{\varphi}_0(t_{00}) - f_0^- \right) \delta t_0 + o(\delta w). \quad (3.2)$$

Here

$$\lim_{|\delta w| \rightarrow 0} \frac{O(\delta w)}{|\delta w|} < \infty.$$

Proof. Let $\varepsilon_2 \in (0, \varepsilon_1)$ be insomuch small that for arbitrary $\delta w \in \delta W_{\varepsilon_2}^-$ the following inequality

$$t_{00} - \tau < t_0, \quad t_{00} - \tau_0 < t_0 \quad (3.3)$$

holds, where $\tau = \tau_0 + \delta \tau$ and $t_0 = t_{00} + \delta t_0$. On the interval $[t_{00}, t_1]$ the function $\Delta x(t) = x(t) - x_0(t)$, where $x(t) = x(t; w_0 + \delta w)$, satisfies the equation

$$\dot{\Delta x}(t) = a(t; \delta w), \quad (3.4)$$

where

$$\begin{aligned} a(t; \delta w) &= f(t, x(t), x(t - \tau), u(t), u(t - \theta)) - f(t, x_0(t), x_0(t - \tau_0), u_0(t), u_0(t - \theta)), \\ u(t) &= u_0(t) + \delta u(t). \end{aligned}$$

We rewrite the equation (3.4) in the integral form

$$\Delta x(t) = \Delta x(t_{00}) + \int_{t_{00}}^t a(s; \delta w) ds, \quad t \in [t_{00}, t_1].$$

Hence it follows that

$$|\Delta x(t)| \leq |\Delta x(t_{00})| + a_1(t; t_{00}, \delta w), \quad (3.5)$$

where

$$a_1(t; t_{00}, \delta w) = \int_{t_{00}}^t |a(s; \delta w)| ds.$$

Let us prove the formula (3.2). We have

$$\begin{aligned} \Delta x(t_{00}) &= x(t_{00}) - x_0(t_{00}) = \varphi_0(t_0) + \delta \varphi(t_0) \\ &+ \int_{t_0}^{t_{00}} f(t, x(t), \varphi(t - \tau), u(t), u(t - \theta)) dt - \varphi_0(t_{00}) \end{aligned} \quad (3.6)$$

(see (3.3)). It is clear that

$$\varphi_0(t_0) - \varphi_0(t_{00}) = \int_{t_{00}}^{t_0} \dot{\varphi}_0(t) dt = \dot{\varphi}_0(t_{00})\delta t_0 + \alpha(\delta w),$$

where

$$\alpha(\delta w) = \int_{t_0}^{t_{00}} (\dot{\varphi}_0(t) - \dot{\varphi}_0(t_{00})) dt$$

and

$$\delta\varphi(t_0) = \delta\varphi(t_{00}) + \delta\varphi(t_0) - \delta\varphi(t_{00}) = \delta\varphi(t_{00}) + \beta(\delta w),$$

where

$$\beta(\delta w) = \int_{t_0}^{t_{00}} \dot{\delta\varphi}(t) dt.$$

It is easy to see that

$$|\alpha(\delta w)| \leq |\delta w| \max_{t \in [t_0, t_{00}]} |\dot{\varphi}_0(t) - \dot{\varphi}_0(t_{00})| = o(\delta w)$$

and

$$|\beta(\delta w)| \leq |\delta w|^2 = o(\delta w).$$

Thus,

$$\varphi_0(t_0) + \delta\varphi(t_0) - \varphi_0(t_{00}) = \dot{\varphi}_0(t_{00})\delta t_0 + \delta\varphi(t_{00}) + o(\delta w). \quad (3.7)$$

Furthermore,

$$\int_{t_0}^{t_{00}} f(t, x(t), \varphi(t - \tau), u(t), u(t - \theta)) dt = \gamma_1(\delta w) + \gamma_2(\delta w),$$

where

$$\gamma_1(\delta w) = \int_{t_0}^{t_{00}} f(t, \varphi_0(t), \varphi_0(t - \tau_0), u_0(t), u_0(t - \theta)) dt,$$

and

$$\begin{aligned} \gamma_2(\delta w) = \int_{t_0}^{t_{00}} & \left[f(t, x(t), \varphi(t - \tau), u(t), u(t - \theta)) \right. \\ & \left. - f(t, \varphi_0(t), \varphi_0(t - \tau_0), u_0(t), u_0(t - \theta)) \right] dt. \end{aligned}$$

On the basis of (2.1) it is proved that for the compact sets $K_1 \subset O$ and $U_1 \subset U$ there exist a number $L > 0$ such that

$$|f(t, x_1, y_1, u_1, v_1) - f(t, x_2, y_2, u_2, v_2)| \leq L \left(|x_1 - x_2| + |y_1 - y_2| + |u_1 - u_2| + |v_1 - v_2| \right), \forall t \in [t_{01}, t_1], \forall (x_1, x_2, y_1, y_2) \in K_1^4, \forall (u_1, u_2, v_1, v_2) \in U_1^4$$

(see [6], p. 29).

Let us now transform $\gamma_1(\delta w)$ and $\gamma_2(\delta w)$. We have

$$\begin{aligned} \gamma_1(\delta w) &= \int_{t_0}^{t_{00}} f(t, \varphi_0(t_{00}), \varphi_0(t_{00} - \tau), u_0(t_{00}-), u_0(t_{00} - \theta-)) dt \\ &\quad + \int_{t_0}^{t_{00}} \left[f(t, \varphi(t), \varphi(t - \tau), u(t), u(t - \theta)) \right. \\ &\quad \left. - f(t, \varphi_0(t_{00}), \varphi_0(t_{00} - \tau), u_0(t_{00}-), u_0(t_{00} - \theta-)) \right] dt \\ &= -f_0^- \delta t_0 + \gamma_{11}(\delta w) \end{aligned}$$

(see (2.7)). Next,

$$\begin{aligned} |\gamma_{11}(\delta w)| &\leq L \int_{t_0}^{t_{00}} \left[|\varphi(t) - \varphi_0(t_{00})| + |\varphi(t - \tau) - \varphi_0(t_{00} - \tau_0)| \right. \\ &\quad \left. + |u(t) - u_0(t_{00}-)| + |u(t - \theta) - u_0(t_{00} - \theta-)| \right] dt \leq L |\delta w| \gamma_{12}(\delta w), \end{aligned}$$

where

$$\begin{aligned} \gamma_{12}(\delta w) &= \sup_{t \in [t_0, t_{00}]} \left[|\varphi(t) - \varphi_0(t_{00})| + |\varphi(t - \tau) - \varphi_0(t_{00} - \tau_0)| \right. \\ &\quad \left. + |u(t) - u_0(t_{00}-)| + |u(t - \theta) - u_0(t_{00} - \theta-)| \right]. \end{aligned}$$

It is clear that

$$\gamma_{12}(\delta w) \rightarrow 0 \text{ for } |\delta w| \rightarrow 0,$$

i. e.,

$$\gamma_{11}(\delta w) = o(\delta w).$$

Thus,

$$\gamma_1(\delta w) = -f_0^- \delta t_0 + o(\delta w). \quad (3.8)$$

It is easy to see that

$$\begin{aligned} |\gamma_2(\delta w)| &\leq L \int_{t_0}^{t_{00}} \left(|x(t) - \varphi_0(t)| + |\varphi_0(t - \tau) + \delta \varphi(t - \tau) - \varphi_0(t - \tau_0)| \right. \\ &\quad \left. + |\delta u(t)| + |\delta u(t - \theta)| \right) dt = L \int_{t_0}^{t_{00}} |x(t) - \varphi_0(t)| dt + o(\delta w); \end{aligned}$$

For $t \in [t_0, t_{00}]$ we have

$$\begin{aligned}
|x(t) - \varphi_0(t)| &= |x(t_0) + \int_{t_0}^t f(s, x(s), x(s - \tau), u(s), u(s - \theta))ds - \varphi_0(t)| \\
&= |\varphi_0(t_0) + \delta\varphi(t_0) + \int_{t_0}^t f(s, x(s), x(s - \tau), u(s), u(s - \theta))ds - \varphi_0(t)| \\
&\leq \left\{ |\delta\varphi(t_0)| + \int_{t_0}^{t_{00}} |f(s, x(s), x(s - \tau), u(s), u(s - \theta))| ds \right. \\
&\quad \left. + \int_{t_0}^{t_{00}} |\dot{\varphi}_0(s)| ds \right\} \leq O(\delta w)
\end{aligned} \tag{3.9}$$

(see Theorem 3.1).

Consequently,

$$\gamma_2(\delta w) = o(\delta w) \tag{3.10}.$$

From (3.6) by virtue of (3.7), (3.8) and (3.10) we obtain (3.2).

Let us now prove the inequality (3.1). We note that $\delta w \in \delta W_{\varepsilon_2}^-$, i. e., $t_0 < t_{00}$, therefore

$$\Delta x(t) = \begin{cases} \delta\varphi(t), & t \in [\hat{r}, t_0), \\ x(t) - \varphi_0(t), & [t_0, t_{00}]. \end{cases}$$

On the basis of (3.9) we have

$$\max_{t \in [\hat{r}, t_{00}]} |\Delta x(t)| \leq O(\delta w). \tag{3.11}$$

We will estimate now $a_1(t; t_{00}, \delta w)$, $t \in [t_{00}, t_1]$. We have

$$\begin{aligned}
a_1(t; t_{00}, \delta w) &\leq L \int_{t_{00}}^t \left[|x(s) - x_0(s)| + |x(s - \tau) - x_0(s - \tau_0)| \right. \\
&\quad \left. + |\delta u(s)| + |\delta u(s - \theta)| \right] ds \leq L \int_{t_{00}}^t |\Delta x(s)| ds + La_{11}(t; t_{00}, \delta w) + 2L(t_1 - t_{00})|\delta w| \\
&\leq O(\delta w) + L \int_{t_{00}}^t |\Delta x(s)| ds + La_{11}(t; t_{00}, \delta w),
\end{aligned}$$

where

$$a_{11}(t; t_{00}, \delta w) = \int_{t_{00}}^t |x(s - \tau) - x_0(s - \tau_0)| ds.$$

We introduce the notations

$$s_1 = \min\{t_0 + \tau, t_0 + \tau_0\}, s_2 = \max\{t_{00} + \tau, t_{00} + \tau_0\}.$$

It is not difficult to see that

$$s_1 > t_{00} \text{ and } |s_2 - s_1| = O(\delta w)$$

(see (3.3)). Next, if $t \in [t_{00}, s_1]$ then $t - \tau \leq t_0$ and $t - \tau_0 \leq t_0 \leq t_{00}$; if $t \in [s_2, t_1]$ then $t - \tau \geq t_{00}$ and $t - \tau_0 \geq t_{00}$; there exist numbers $M > 0$ and $N > 0$ such that

$$|x(s - \tau) - x_0(s - \tau_0)| \leq M, \quad t \in [t_{00}, t_1]$$

and

$$|\dot{x}_0(t)| \leq N, \text{ a. e. on } I_1$$

(see Theorem 3.1, Definition 2.1 and (2.1)).

Let $t \in [t_{00}, s_1]$ then

$$\begin{aligned} a_{11}(t; t_{00}, \delta w) &\leq a_{11}(s_1; t_{00}, \delta w) = \int_{t_{00}}^{s_1} |\varphi(s - \tau) - \varphi_0(s - \tau_0)| ds \\ &\leq \int_{t_{00}}^{s_1} |\delta\varphi(s - \tau)| ds + \int_{t_{00}}^{s_1} |\varphi_0(s - \tau) - \varphi_0(s - \tau_0)| ds \leq (t_1 - t_{00})|\delta w| \\ &+ \int_{t_{00}}^{s_1} \left| \int_{s-\tau_0}^{s-\tau} |\dot{\varphi}_0(\xi)| d\xi \right| ds \leq (t_1 - t_{00})|\delta w| + (t_1 - t_{00})\|\varphi_0\|_1|\delta w| = O(\delta w). \end{aligned}$$

Let $t \in [s_1, s_2]$ then

$$\begin{aligned} a_{11}(t; t_{00}, \delta w) &= a_{11}(s_1; t_{00}, \delta w) + \int_{s_1}^t |x(s - \tau) - x_0(s - \tau_0)| ds \\ &\leq O(\delta w) + M|s_2 - s_1| = O(\delta w). \end{aligned}$$

Let $t \in [s_2, t_1]$ then $s_2 - \tau \geq t_{00}$ and $s_2 - \tau_0 \geq t_{00}$. We have

$$\begin{aligned} a_{11}(t; t_{00}, \delta w) &= a_{11}(s_2; t_{00}, \delta w) + \int_{s_2}^t |x(s - \tau) - x_0(s - \tau_0)| ds \\ &\leq O(\delta w) + \int_{s_2}^t |x(s - \tau) - x_0(s - \tau)| ds + \int_{s_2}^t |x_0(s - \tau) - x_0(s - \tau_0)| ds \\ &= O(\delta w) + \int_{s_2}^t |\Delta x(s - \tau)| ds + \int_{s_2}^t \left| \int_{s-\tau_0}^{s-\tau} |\dot{x}_0(\xi)| d\xi \right| ds \\ &\leq O(\delta w) + \int_{s_2-\tau}^{t-\tau} |\Delta x(s)| ds + (t - s_2)N|\delta\tau| \\ &\leq O(\delta w) + \int_{t_{00}}^t |\Delta x(s)| ds + (t_1 - t_{00})N|\delta w| \\ &= O(\delta w) + \int_{t_{00}}^t |\Delta x(s)| ds. \end{aligned}$$

By using the last relations we obtain

$$a_{11}(t; t_{00}, \delta w) \leq O(\delta w) + \int_{t_{00}}^t |\Delta x(s)| ds, t \in [t_{00}, t_1].$$

Consequently, we get

$$a_1(t; t_{00}, \delta w) \leq O(\delta w) + 2 \int_{t_{00}}^t |\Delta x(s)| ds. \quad (3.12)$$

From (3.5) according to (3.2) and (3.12) we have

$$|\Delta x(t)| \leq O(\delta w) + 2 \int_{t_{00}}^t |\Delta x(s)| ds, t \in [t_{00}, t_1].$$

By the Gronwall-Bellman inequality we get

$$|\Delta x(t)| \leq O(\delta w) e^{2L(t_1 - t_{00})} = O(\delta w), t \in [t_{00}, t_1]. \quad (3.13)$$

According to (3.11) and (3.13) we obtain (3.1).

Theorem 3.3. *There exists a number $\varepsilon_2 \in (0, \varepsilon_1)$ such that*

$$\max_{t \in I_1} |\Delta x(t)| \leq O(\delta w)$$

for arbitrary $\delta w \in \delta W_{\varepsilon_2}^+$. Moreover,

$$\Delta x(t_{00}) = \delta \varphi(t_{00}) + \left(\dot{\varphi}_0(t_{00}) - f_0^+ \right) \delta t_0 + o(\delta w).$$

Theorem 3.3 is proved by analogy to Theorem 3.2 without principal changes.

4. Proof of Theorem 2.1

The function $\Delta x(t)$ satisfies the equation

$$\begin{aligned} \dot{\Delta x}(t) &= f_x[t] \Delta x(t) + f_y[t] \Delta x(t - \tau_0) + f_u[t] \delta u(t) + f_v[t] \delta u(t - \theta) \\ &\quad + b(t; \delta w), t \in [t_{00}, t_1], \end{aligned} \quad (4.1)$$

where

$$\begin{aligned} b(t; \delta w) &= a(t; \delta w) - f_x[t] \Delta x(t) - f_y[t] \Delta x(t - \tau_0) - f_u[t] \delta u(t) \\ &\quad - f_v[t] \delta u(t - \theta). \end{aligned} \quad (4.2)$$

By using the Cauchy formula [6, p. 31], one can represent the solution of the equation (4.1) in the form

$$\begin{aligned} \Delta x(t) &= Y(t_{00}; t) \Delta x(t_{00}) + \int_{t_{00}}^t Y(s; t) \left(f_u[s] \delta u(s) + f_v[s] \delta u(s - \theta) \right) ds \\ &\quad + b_1(t; t_{00}, \delta w) + b_2(t; t_{00}, \delta w), \end{aligned} \quad (4.3)$$

where

$$b_1(t; t_{00}, \delta w) = \int_{t_{00}-\tau_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \Delta x(s) ds,$$

$$b_2(t; t_{00}, \delta w) = \int_{t_{00}}^t Y(s; t) b(s; \delta w) ds$$

and $Y(s; t)$ is the matrix function satisfying the equation (2.8) and the condition (2.9). The function $Y(\xi; t)$ is continuous on the set

$$\Pi = \left\{ (s, t) : s \in [t_{00}, t], t \in [t_{00}, t_1] \right\}$$

(see [6], Lemma 2.6). Therefore,

$$Y(t_{00}; t) \Delta x(t_{00}) = Y(t_{00}; t) \left[\delta \varphi(t_{00}) + \left(\dot{\varphi}_0(t_{00}) - f_0^- \right) \delta t_0 \right] + o(t; \delta w) \quad (4.4)$$

(see (3.2)). One can readily see that

$$\begin{aligned} b_1(t; t_{00}, \delta w) &= \int_{t_{00}-\tau_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \delta \varphi(s) ds + \int_{t_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \Delta x(s) ds \\ &= \int_{t_{00}-\tau_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \delta \varphi(s) ds - \int_{t_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \delta \varphi(s) ds \\ &+ \int_{t_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \Delta x(s) ds = \int_{t_{00}-\tau_0}^{t_{00}} Y(s + \tau_0; t) f_y[s + \tau_0] \delta \varphi(s) ds \\ &+ o(t; \delta w) \end{aligned} \quad (4.5)$$

(see (3.1)). We introduce the notations:

$$\begin{aligned} f[t; s, \delta w] &= f(t, x_0(t) + s \Delta x(t), x_0(t - \tau_0) + s(x_0(t - \tau) - x_0(t - \tau_0)) \\ &+ \Delta x(t - \tau)), u_0(t) + s \delta u(t), u_0(t - \theta) + s \delta u(t - \theta)) \\ \sigma_x(t; s, \delta w) &= f_x[t; s, \delta w] - f_x[t]. \end{aligned}$$

It is easy to see that

$$\begin{aligned} a(t; \delta w) &= \int_0^1 \frac{d}{ds} f[t; s, \delta w] ds = \int_0^1 \left\{ f_x[t; s, \delta w] \Delta x(t) \right. \\ &+ f_y[t; s, \delta w] (x_0(t - \tau) - x_0(t - \tau_0) + \Delta x(t - \tau)) + f_u[t; s, \delta w] \delta u(t) \\ &+ f_v[t; s, \delta w] \delta u(t - \theta) \left. \right\} ds = \left[\int_0^1 \sigma_x(t; s, \delta w) ds \right] \Delta x(t) \\ &+ \left[\int_0^1 \sigma_y(t; s, \delta w) ds \right] (x_0(t - \tau) - x_0(t - \tau_0) + \Delta x(t - \tau)) \end{aligned}$$

$$\begin{aligned}
& + \left[\int_0^1 \sigma_u(t; s, \delta w) ds \right] \delta u(t) + \left[\int_0^1 \sigma_v(t; s, \delta w) ds \right] \delta u(t - \theta) \\
& + f_x[t] \Delta x(t) + f_y[t] (x_0(t - \tau) - x_0(t - \tau_0) + \Delta x(t - \tau)) + f_u[t] \delta u(t) \\
& + f_v[t] \delta u(t - \theta).
\end{aligned}$$

Taking into account the last relation for $t \in [t_{00}, t_1]$, we have

$$\begin{aligned}
b_2(t; t_{00}, \delta w) &= b_{21}(t; \delta w) + b_{22}(t; \delta w) + b_{23}(t; \delta w) + b_{24}(t; \delta w) \\
&+ b_{25}(t; \delta w) + b_{26}(t; \delta w),
\end{aligned}$$

where

$$\begin{aligned}
b_{21}(t; \delta w) &= \int_{t_{00}}^t Y(\xi; t) \sigma_x(\xi; \delta w) \Delta x(\xi) d\xi, \quad \sigma_x(\xi; \delta w) = \int_0^1 \sigma_x(\xi; s, \delta w) ds; \\
b_{22}(t; \delta w) &= \int_{t_{00}}^t Y(\xi; t) \sigma_y(\xi; \delta w) (x_0(\xi - \tau) - x_0(\xi - \tau_0) + \Delta x(\xi - \tau)) d\xi, \\
\sigma_y(\xi; \delta w) &= \int_0^1 \sigma_y(\xi; s, \delta w) ds; \quad b_{23}(t; \delta w) = \int_{t_{00}}^t Y(\xi; t) \sigma_u(\xi; \delta w) \delta u(\xi) d\xi, \\
\sigma_u(\xi; \delta w) &= \int_0^1 \sigma_u(\xi; s, \delta w) ds; \quad b_{24}(t; \delta w) = \int_{t_{00}}^t Y(\xi; t) \sigma_v(\xi; \delta w) \delta u(\xi - \theta) d\xi, \\
\sigma_v(\xi; \delta w) &= \int_0^1 \sigma_v(\xi; s, \delta w) ds; \quad b_{25}(t; \delta w) = \int_{t_{00}}^t Y(\xi; t) f_y[\xi] [x_0(\xi - \tau) - x_0(\xi - \tau_0)] d\xi; \\
b_{26}(t; \delta w) &= \int_{t_{00}}^t Y(\xi; t) f_y[\xi] [\Delta x(\xi - \tau) - \Delta x(\xi - \tau_0)] d\xi
\end{aligned}$$

(see 4.2). The function $x_0(t), t \in I_1$, is absolutely continuous. For each Lebesgue point $\xi \in (t_{00}, t_1]$ of the function $\dot{x}_0(\xi - \tau_0)$ we get

$$\begin{aligned}
x_0(\xi - \tau) - x_0(\xi - \tau_0) &= \int_{\xi}^{\xi - \delta\tau} \dot{x}_0(\varsigma - \tau_0) d\varsigma = \\
&= -\dot{x}_0(\xi - \tau_0) \delta\tau + \gamma(\xi; \delta\tau),
\end{aligned} \tag{4.6}$$

and with

$$\lim_{|\delta\tau| \rightarrow 0} \left| \frac{\gamma(\xi; \delta\tau)}{\delta\tau} \right| = 0.$$

We denote now $\gamma(\xi; \delta\tau)$ by $\gamma(\xi; \delta w)$. It is clear that

$$\lim_{|\delta w| \rightarrow 0} \frac{|\gamma(\xi; \delta w)|}{|\delta w|} \leq \lim_{|\delta\tau| \rightarrow 0} \left| \frac{\gamma(\xi; \delta\tau)}{\delta\tau} \right| = 0. \tag{4.7}$$

Thus, (4.6) is valid for almost all points of the interval (t_{00}, t_1) . From (4.6), taking into account the boundedness of the function $\dot{x}_0(t), t \in I_1$ it follows that

$$|x_0(\xi - \tau) - x_0(\xi - \tau_0)| \leq N|\delta\tau| \leq N|\delta w| = O(\delta w) \quad (4.8)$$

and

$$\frac{|\gamma(\xi; \delta w)|}{|\delta w|} \leq \left| \frac{\gamma(\xi; \delta\tau)}{\delta\tau} \right| = \left| \dot{x}_0(\xi - \tau_0) + \frac{1}{\delta\tau} \int_{\xi}^{\xi - \delta\tau} \dot{x}_0(\varsigma - \tau_0) d\varsigma \right| \leq \text{const.} \quad (4.9)$$

It is clear that for $\xi \in [t_{00}, s_1]$

$$\begin{aligned} |\Delta x(\xi - \tau) - \Delta x(\xi - \tau_0)| &= |\delta\varphi(\xi - \tau) - \delta\varphi(\xi - \tau_0)| \\ &\leq \left| \int_{\xi - \tau_0}^{\xi - \tau} \dot{\delta\varphi}(\varsigma) d\varsigma \right| = o(\delta w) \end{aligned} \quad (4.10)$$

and for $\xi \in [s_1, s_2]$ we have

$$|\Delta x(\xi - \tau) - \Delta x(\xi - \tau_0)| \leq O(\delta w) \quad (4.11)$$

(see (3.1)).

Let $\xi \in [s_2, t_1]$ then $\xi - \tau \geq t_{00}, \xi - \tau_0 \geq t_{00}$. Therefor,

$$\begin{aligned} |\Delta x(\xi - \tau) - \Delta x(\xi - \tau_0)| &= \left| \int_{\xi - \tau}^{\xi - \tau_0} |\dot{\Delta}(s)| ds \right| \\ &\leq \left| \int_{\xi - \tau}^{\xi - \tau_0} L \left[|\Delta x(s)| + |x_0(s - \tau) - x_0(s - \tau_0)| + |\delta u(s)| \right. \right. \\ &\quad \left. \left. + |\delta u(s - \theta)| \right] ds \right| \leq o(\delta w) \end{aligned} \quad (4.12)$$

(see (3.1),(4.8)).

According to (3.1), (4.6) for expressions $b_{2,i}(t; \delta w), i = \overline{1,6}$ we obtain

$$\begin{aligned} |b_{21}(t; \delta w)| &\leq \|Y\| O(\delta w) \sigma_x(\delta w), \sigma_x(\delta w) = \int_{t_{00}}^{t_1} |\sigma_x(\xi; \delta w)| d\xi; \\ |b_{22}(t; \delta w)| &\leq \|Y\| O(\delta w) \sigma_y(\delta w), \sigma_y(\delta w) = \int_{t_{00}}^{t_1} |\sigma_y(\xi; \delta w)| d\xi; \\ |b_{23}(t; \delta w)| &\leq \|Y\| O(\delta w) \sigma_u(\delta w), \sigma_u(\delta w) = \int_{t_{00}}^{t_1} |\sigma_u(\xi; \delta w)| d\xi; \\ |b_{24}(t; \delta w)| &\leq \|Y\| O(\delta w) \sigma_v(\delta w), \sigma_v(\delta w) = \int_{t_{00}}^{t_1} |\sigma_v(\xi; \delta w)| d\xi; \\ b_{25}(t; \delta w) &= - \left[\int_{t_{00}}^t Y(\xi; t) f_y[\xi] \dot{x}_0(\xi - \tau_0) d\xi \right] \delta\tau + \gamma_1(t; \delta w), \end{aligned}$$

where

$$\|Y\| = \sup\{|Y(\xi; t)| : (\xi, t) \in \Pi\}, \gamma_1(t; \delta w) = \int_{t_{00}}^t Y(\xi; t) f_y[\xi] \gamma(\xi; \delta w) d\xi$$

By the Lebesgue theorem on the passage to the limit under the integral sign, we have

$$\lim_{\delta w \rightarrow 0} \sigma_x(\delta w) = 0, \lim_{\delta w \rightarrow 0} \sigma_y(\delta w) = 0, \lim_{\delta w \rightarrow 0} \sigma_u(\delta w) = 0, \lim_{\delta w \rightarrow 0} \sigma_v(\delta w) = 0$$

and

$$\lim_{\delta w \rightarrow 0} \frac{|\gamma(t; \delta w)|}{|\delta w|} = 0,$$

uniformly for $t \in [t_{00}, t_1]$, (see (4.7), (4.9)). Thus,

$$b_{2i}(t; \delta w) = o(\delta w), i = \overline{1, 4};$$

$$b_{25}(t; \delta w) = - \left[\int_{t_{00}}^t Y(\xi; t) f_y[\xi] \dot{x}_0(\xi - \tau_0) d\xi \right] \delta \tau + o(t; \delta w).$$

Further,

$$|b_{26}(t; \delta w)| \leq \|Y\| \int_{t_{00}}^{t_1} |f_y[\xi]| |\Delta x(\xi - \tau) - \Delta x(\xi - \tau_0)| d\xi = o(\delta w)$$

(see (4.10)-(4.12)). Consequently,

$$b_2(t; t_{00}, \delta w) = - \left[\int_{t_{00}}^t Y(\xi; t) f_y[\xi] \dot{x}_0(\xi - \tau_0) d\xi \right] \delta \tau + o(t; \delta w) \quad (4.13)$$

From (4.3) by virtue of (4.4), (4.5) and (4.13), we obtain (2.4), where $\delta x(t; \delta w)$ has the form (2.5).

5. Proof of Theorem 2.2

Let $\hat{t}_0 \in (t_{00}, t_{00} + \delta)$, where $\delta > 0$ and $t_{00} + \delta < t_{02}$. Moreover, let $\varepsilon_2 \in (0, \varepsilon_1)$ be insomuch small that $t_0 = t_{00} + \delta t_0 < \hat{t}_0$ for arbitrary

$$\delta w \in \delta W_{\varepsilon_2}^+ = \left\{ \delta w \in W - w_0 : |\delta w| \leq \varepsilon_2, \delta t_0 > 0 \right\}.$$

The function $\Delta x(t)$ satisfies the equation (4.1) on the interval $[t_0, t_1]$. Therefore, by using the Cauchy formula, we can represent it in the form

$$\Delta x(t) = Y(t_0; t) \Delta x(t_0) + \int_{t_0}^t Y(s; t) \left(f_u[s] \delta u(s) + f_v[s] \delta u(s - \theta) \right) ds$$

$$+ b_1(t; t_0, \delta w) + b_2(t; t_0, \delta w), \quad (5.1)$$

where $Y(\xi; t)$ is the matrix function satisfying the equation (2.8) and the condition (2.9). The function $Y(\xi; t)$ is continuous on the set $[t_{00}, \hat{t}_0] \times [\hat{t}_0, t_1]$, therefore

$$Y(t_0; t) \Delta x(t_0) = Y(t_{00}; t) [\delta \varphi(t_{00}) + (\dot{\varphi}(t_{00}) - f_0^+) \delta t_0] + o(t; \delta w) \quad (5.2)$$

(see Theorem 3.3). It is not difficult to see that

$$\begin{aligned}
b_1(t; t_0, \delta w) &= \int_{t_0-\tau_0}^{t_{00}} Y(\xi + \tau_0; t) f_y[\xi + \tau_0] \delta\varphi(\xi) d\xi + \int_{t_{00}}^{t_0} Y(\xi + \tau_0; t) f_y[\xi + \tau_0] \Delta x(\xi) d\xi \\
&= \int_{t_{00}-\tau_0}^{t_{00}} Y(\xi + \tau_0; t) f_y[\xi + \tau_0] \delta\varphi(\xi) d\xi + o(t; \delta w) - \int_{t_{00}-\tau_0}^{t_0-\tau_0} Y(\xi + \tau_0; t) f_y[\xi + \tau_0] \delta\varphi(\xi) d\xi \\
&= \int_{t_{00}-\tau_0}^{t_{00}} Y(\xi + \tau_0; t) f_y[\xi + \tau_0] \delta\varphi(\xi) d\xi + o(t; \delta). \tag{5.3}
\end{aligned}$$

In a similar way, with nonessential changes, for $t \in [\hat{t}_0, t_1]$, one can prove

$$b_2(t; t_0, \delta w) = - \left[\int_{t_{00}}^t Y(\xi; t) f_y[\xi] \dot{x}_0(\xi - \tau_0) d\xi \right] \delta\tau + o(t; \delta w) \tag{5.4}$$

Taking into account (5.2)-(5.4), from (5.1) we obtain the formula (2.4) on the interval $[\hat{t}_0, t_1]$, where $\delta x(t; \delta w)$ has the form (2.14).

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