

ON THE SPACE OF GENERALIZED THETA-SERIES WITH SPHERICAL  
 POLYNOMIALS OF FOURTH ORDER

Ketevan Shavgulidze

**Abstract.** The spherical polynomials of order  $\nu = 4$  with respect to some diagonal quadratic form of five variables are constructed and the basis of the space of these spherical polynomials is established. The space of generalized theta-series with respect to some quadratic form of five variables is considered and the basis of this space is constructed.

**Keywords and phrases:** Quadratic form, spherical polynomial, generalized theta-series.

**AMS subject classification (2010):** 11E20, 11F27, 11F30.

**Introduction.** Let

$$Q(X) = Q(x_1, x_2, \dots, x_r) = \sum_{1 \leq i \leq j \leq r} b_{ij} x_i x_j$$

be an integer positive definite quadratic form of  $r$  variables and let  $A = (a_{ij})$  be the symmetric  $r \times r$  matrix of the quadratic form  $Q(X)$ , where  $a_{ii} = 2b_{ii}$  and  $a_{ij} = a_{ji} = b_{ij}$ , for  $i < j$ . If  $X = (x_1 \dots x_r)^T$  denotes a column matrix and  $X^T$  its transpose, then  $Q(X) = \frac{1}{2} X^T A X$ . Let  $A_{ij}$  denote the cofactor to the element  $a_{ij}$  in  $A$  and  $a_{ij}^*$  is the element of the inverse matrix  $A^{-1}$ .

A homogeneous polynomial  $P(X) = P(x_1, \dots, x_r)$  of degree  $\nu$  with complex coefficients, satisfying the condition

$$\sum_{1 \leq i, j \leq r} a_{ij}^* \left( \frac{\partial^2 P}{\partial x_i \partial x_j} \right) = 0$$

is called a spherical polynomial of order  $\nu$  with respect to  $Q(X)$  (see [1]), and

$$\vartheta(\tau, P, Q) = \sum_{n \in \mathbb{Z}^r} P(n) z^{Q(n)}, \quad z = e^{2\pi i \tau}, \quad \tau \in \mathbb{C}, \quad \text{Im } \tau > 0 \quad (1)$$

is the corresponding generalized  $r$ -fold theta-series.

Let  $\mathcal{P}(\nu, Q)$  denote the vector space over  $\mathbb{C}$  of spherical polynomials  $P(X)$  of even order  $\nu$  with respect to  $Q(X)$ .

Hecke [2] calculated the dimension of the space  $\mathcal{P}(\nu, Q)$  and showed that

$$\dim \mathcal{P}(\nu, Q) = \binom{\nu + r - 1}{r - 1} - \binom{\nu + r - 3}{r - 1}.$$

He formed a basis of the space of spherical polynomials of second order ( $\nu = 2$ ) with respect to  $Q(X)$ .

Lomadze ([3], p.533) proved that among homogenous polynomials of fourth order ( $\nu = 4$ ) in  $r$  variables

$$\begin{aligned} \varphi_{ijkl} = & x_i x_j x_k x_l \frac{1}{(r+4)D} (A_{ij} x_k x_l + A_{ik} x_j x_l + A_{il} x_k x_j + A_{jk} x_i x_l + A_{jl} x_k x_i \\ & + A_{kl} x_i x_j) 2Q + \frac{1}{(r+2)(r+4)D^2} (A_{ij} A_{kl} + A_{ik} A_{jl} + A_{il} A_{jk}) (2Q)^2, \end{aligned} \quad (2)$$

$i, j, k, l = 1, \dots, r$

exactly  $\frac{1}{24}r(r^2 - 1)(r + 6)$  ones are linearly indeendent and form the basis of the space of spherical polynomials of fourth order with respect to  $Q(x)$ .

Let  $T(\nu, Q)$  denote the vector space over  $\mathbb{C}$  of generalized multiple theta-series, i.e.

$$T(\nu, Q) = \{\vartheta(\tau, P, Q) : P \in \mathcal{P}(\nu, Q)\}.$$

Gooding [1] calculated the dimension of the vector space  $T(\nu, Q)$  for reduced binary quadratic form  $Q$  and obtained an upper bound of the dimension of the space  $T(\nu, Q)$  for some diagonal quadratic form of  $r$  variables

$$\dim T(\nu, Q) \leq \binom{\frac{\nu}{2} + r - 2}{r - 2}.$$

In [4] the upper bounds for the dimensions of the spaces  $T(\nu, Q)$  for some diagonal and nondiagonal quadratic forms are established, in a number of cases the dimension of the space  $T(2, Q)$  is calculated and a basis of this space is constructed.

Gaigalas [5] obtained the upper bounds for the dimensions of the spaces  $T(4, Q)$  and  $T(6, Q)$  for some diagonal quadratic forms and presented the upper bounds of the dimensions of the spaces  $T(\nu, Q)$  for some diagonal quadratic forms of six variables.

In this paper the dimension of the space  $T(4, Q)$  is calculated for some diagonal quadratic forms of five variables and a basis of this space is constructed.

**1 The spherical polynomials of the Space  $\mathcal{P}(4, Q)$ .** Consider the diagonal quadratic form of five variables

$$Q(X) = b_{11}x_1^2 + b_{22}x_2^2 + b_{33}x_3^2 + b_{44}(x_4^2 + x_5^2),$$

where  $0 < b_{11} < b_{22} < b_{33} < b_{44} = b_{55}$ .

For the quadratic form  $Q(X)$  we have

$$\begin{aligned} |A| = \det A = & 2^5 b_{11} b_{22} b_{33} b_{44}^2, & A_{11} = & 2^4 b_{22} b_{33} b_{44}^2, & A_{22} = & 2^4 b_{11} b_{33} b_{44}^2, \\ A_{33} = & 2^4 b_{11} b_{22} b_{44}^2, & A_{44} = & A_{55} = 2^4 b_{11} b_{22} b_{33} b_{44}, & A_{ij} = & 0 \text{ for } i \neq j. \end{aligned}$$

The total number of linearly independent spherical polynomials (2) of forth order for the quadratic forms of five variables is  $\frac{1}{24}r(r^2 - 1)(r + 6) = 55$ , of which we need only the

following:

$$\begin{aligned}
\varphi_{1111} &= x_1^4 - \frac{2}{3b_{11}}Qx_1^2 + \frac{1}{21b_{11}^2}Q^2, & \varphi_{2222} &= x_2^4 - \frac{2}{3b_{22}}Qx_2^2 + \frac{1}{21b_{22}^2}Q^2, \\
\varphi_{3333} &= x_3^4 - \frac{2}{3b_{33}}Qx_3^2 + \frac{1}{21b_{33}^2}Q^2, & \varphi_{4444} &= x_4^4 - \frac{2}{3b_{44}}Qx_4^2 + \frac{1}{21b_{44}^2}Q^2, \\
\varphi_{1122} &= x_1^2x_2^2 - \frac{1}{9b_{11}}Qx_1^2 - \frac{1}{9b_{22}}Qx_2^2 + \frac{1}{126b_{11}b_{22}}Q^2, \\
\varphi_{1133} &= x_1^2x_3^2 - \frac{1}{9b_{11}}Qx_1^2 - \frac{1}{9b_{33}}Qx_3^2 + \frac{1}{126b_{11}b_{33}}Q^2, \\
\varphi_{3344} &= x_3^2x_4^2 - \frac{1}{9b_{33}}Qx_3^2 - \frac{1}{9b_{44}}Qx_4^2 + \frac{1}{126b_{33}b_{44}}Q^2.
\end{aligned}$$

**2 The basis of the space  $T(4, Q)$ .** For the constructed spherical polynomials, let's compile the corresponding generalized fourth order theta-series (1).

$$\begin{aligned}
\vartheta(\tau, \varphi_{1111}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{1111} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_1^4 - \frac{2}{3b_{11}}Qx_1^2 + \frac{1}{21b_{11}^2}Q^2 \right) z^n \\
&= \frac{16}{21}z^{b_{11}} + \dots + \frac{2b_{22}^2}{21b_{11}^2}z^{b_{22}} + \dots + \frac{2b_{33}^2}{21b_{11}^2}z^{b_{33}} + \dots + \frac{4b_{44}^2}{21b_{11}^2}z^{b_{44}} + \dots \\
\vartheta(\tau, \varphi_{2222}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{2222} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_2^4 - \frac{2}{3b_{22}}Qx_2^2 + \frac{1}{21b_{22}^2}Q^2 \right) z^n \\
&= \frac{2b_{11}^2}{21b_{22}^2}z^{b_{11}} + \dots + \frac{16}{21}z^{b_{22}} + \dots + \frac{2b_{33}^2}{21b_{22}^2}z^{b_{33}} + \dots + \frac{4b_{44}^2}{21b_{22}^2}z^{b_{44}} + \dots \\
\vartheta(\tau, \varphi_{3333}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{3333} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_3^4 - \frac{2}{3b_{33}}Qx_3^2 + \frac{1}{21b_{33}^2}Q^2 \right) z^n \\
&= \frac{2b_{11}^2}{21b_{33}^2}z^{b_{11}} + \dots + \frac{2b_{22}^2}{21b_{33}^2}z^{b_{22}} + \dots + \frac{16}{21}z^{b_{33}} + \dots + \frac{4b_{44}^2}{21b_{33}^2}z^{b_{44}} + \dots \\
\vartheta(\tau, \varphi_{4444}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{4444} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_4^4 - \frac{2}{3b_{44}}Qx_4^2 + \frac{1}{21b_{44}^2}Q^2 \right) z^n \\
&= \frac{2b_{11}^2}{21b_{44}^2}z^{b_{11}} + \dots + \frac{2b_{22}^2}{21b_{44}^2}z^{b_{22}} + \dots + \frac{2b_{33}^2}{21b_{44}^2}z^{b_{33}} + \dots + \frac{18}{21}z^{b_{44}} + \dots, \\
\vartheta(\tau, \varphi_{1122}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{1122} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_1^2x_2^2 - \frac{1}{9b_{11}}Qx_1^2 - \frac{1}{9b_{22}}Qx_2^2 + \frac{1}{126b_{11}b_{22}}Q^2 \right) z^n \\
&= \left( -\frac{2}{9} + \frac{b_{11}}{63b_{22}} \right) z^{b_{11}} + \dots + \left( -\frac{2}{9} + \frac{b_{22}}{63b_{11}} \right) z^{b_{22}} + \dots + \frac{b_{33}^2}{63b_{11}b_{22}}z^{b_{33}} + \dots + \frac{2b_{44}^2}{63b_{11}b_{22}}z^{b_{44}} + \dots,
\end{aligned}$$

$$\begin{aligned} \vartheta(\tau, \varphi_{1133}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{1133} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_1^2 x_3^2 - \frac{1}{9b_{11}} Q x_1^2 - \frac{1}{9b_{33}} Q x_3^2 + \frac{1}{126b_{11}b_{33}} Q^2 \right) z^n \\ &= \left( -\frac{2}{9} + \frac{b_{11}}{63b_{33}} \right) z^{b_{11}} + \dots + \frac{b_{22}^2}{63b_{11}b_{33}} z^{b_{22}} + \dots \left( -\frac{2}{9} + \frac{b_{33}}{63b_{11}} \right) z^{b_{33}} + \dots + \frac{2b_{44}^2}{63b_{11}b_{33}} z^{b_{44}} + \dots, \\ \vartheta(\tau, \varphi_{3344}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \varphi_{3344} \right) z^n = \sum_{n=1}^{\infty} \sum_{Q(x)=n} \left( x_3^2 x_4^2 - \frac{1}{9b_{33}} Q x_3^2 - \frac{1}{9b_{44}} Q x_4^2 + \frac{1}{126b_{33}b_{44}} Q^2 \right) z^n \\ &= \frac{b_{11}^2}{63b_{33}b_{44}} z^{b_{11}} + \dots + \frac{b_{22}^2}{63b_{33}b_{44}} z^{b_{22}} + \dots + \left( -\frac{2}{9} + \frac{b_{33}}{63b_{44}} \right) z^{b_{33}} + \dots + \left( -\frac{2}{9} + \frac{2b_{44}}{63b_{33}} \right) z^{b_{44}} + \dots \end{aligned}$$

These generalized theta-series are linearly independent since the determinant constructed from the coefficients of these theta-series is not equal to zero. In [4] we have

$$\dim T(\nu, Q) \leq \begin{cases} \frac{1}{6} \binom{\frac{\nu}{4} + 1}{\frac{\nu}{4} + 2} (\nu + 3) & \text{if } \nu \equiv 0 \pmod{4}, \\ \frac{1}{24} \binom{\frac{\nu}{2} + 1}{\frac{\nu}{2} + 3} (\nu + 7) & \text{if } \nu \equiv 2 \pmod{4} \end{cases}$$

For  $\nu = 4$  we have  $\dim T(4, Q) \leq 7$ . Hence these theta-series form the basis of the space  $T(4, Q)$ . We have the following

**Theorem 1.** *Let  $Q(X)$  be the diagonal quadratic form of five variables, given by  $Q(X) = b_{11}x_1^2 + b_{22}x_2^2 + b_{33}x_3^2 + b_{44}(x_4^2 + x_5^2)$ , then the generalized theta-series:*

$$\begin{aligned} &\vartheta(\tau, \varphi_{1111}, Q), \vartheta(\tau, \varphi_{2222}, Q), \vartheta(\tau, \varphi_{3333}, Q), \vartheta(\tau, \varphi_{4444}, Q), \vartheta(\tau, \varphi_{1122}, Q), \\ &\vartheta(\tau, \varphi_{1133}, Q), \vartheta(\tau, \varphi_{3344}, Q) \end{aligned}$$

form the basis of the space  $T(4, Q)$ .

### REFERENCES

1. GOODING, F. Modular forms arising from spherical polynomials and positive definite quadratic forms. *J. Number Theory*, **9** (1977), 36–47.
2. HECKE, E. *Mathematische Werke*. Zweite Auflage, Vandenhoeck und Ruprecht, Göttingen, 1970.
3. LOMADZE, G. On the basis of the space of fourth order spherical functions with respect to a positive quadratic form (Russian). *Bulletin of the Academy of Sciences of Georgian SSR*, **69** (1973), 533–536.
4. SHAVGULIDZE, K. On the space of generalized theta-series for certain quadratic forms in any number of variables. *Mathematica Slovaca*, **69** (2019), 87–98.
5. GAIGALAS, E. The upper bound for the dimension of the space of theta-series. *Lith. Math. J.*, **56**, 3 (2016), 291–297.

Received 01.05.2024; revised 12.08.2024; accepted 04.09.2024.

Author(s) address(es):

Ketevan Shavgulidze  
 Faculty of Exact and Natural Sciences  
 I. Javakhishvili Tbilisi State University  
 University str. 13, 0186, Tbilisi, Georgia  
 E-mail: ketevan.shavgulidze@tsu.ge