

ON THE SPACES OF SPHERICAL POLYNOMIALS AND GENERALIZED  
 THETA-SERIES WITH QUADRATIC FORMS OF FIVE VARIABLES

Ketevan Shavgulidze

**Abstract.** The spherical polynomials of order  $\nu = 2$  with respect to quadratic form of five variables are constructed and the basis of the space of these spherical polynomials is established. The space of generalized theta-series with respect to the quadratic form of five variables is considered. The basis of this space is constructed.

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**1 Introduction.** Let

$$Q(X) = Q(x_1, \dots, x_r) = \sum_{1 \leq i \leq j \leq r} b_{ij} x_i x_j$$

be an integer positive definite quadratic form of  $r$  variables and let  $A = (a_{ij})$  be the symmetric  $r \times r$  matrix of quadratic form  $Q(X)$ , where  $a_{ii} = 2b_{ii}$  and  $a_{ij} = a_{ji} = b_{ij}$ , for  $i < j$ . Let  $A_{ij}$  denote the cofactor to the element  $a_{ij}$  in  $A$  and  $a_{ij}^*$  is the element of the inverse matrix  $A^{-1}$ .

A homogeneous polynomial  $P(X) = P(x_1, \dots, x_r)$  of degree  $\nu$  with complex coefficients, satisfying the condition

$$\sum_{1 \leq i, j \leq r} a_{ij}^* \left( \frac{\partial^2 P}{\partial x_i \partial x_j} \right) = 0 \quad (1)$$

is called a spherical polynomial of order  $\nu$  with respect to  $Q(X)$  (see [1]), and

$$\vartheta(\tau, P, Q) = \sum_{n \in \mathbb{Z}^r} P(n) z^{Q(n)}, \quad z = e^{2\pi i \tau}, \quad \tau \in \mathbb{C}, \quad \text{Im } \tau > 0$$

is the corresponding generalized  $r$ -fold theta-series.

Let  $P(\nu, Q)$  denote the vector space over  $\mathbb{C}$  of spherical polynomials  $P(X)$  of even order  $\nu$  with respect to  $Q(X)$ . Hecke [2] calculated the dimension of the space  $P(\nu, Q)$ ,  $\dim P(\nu, Q) = \binom{\nu+r-1}{r-1} - \binom{\nu+r-3}{r-1}$  and form the basis of the space of spherical polynomials of second order with respect to  $Q(X)$ .

Let  $T(\nu, Q)$  denote the vector space over  $\mathbb{C}$  of generalized multiple theta-series, i.e.,

$$T(\nu, Q) = \{\vartheta(\tau, P, Q) : P \in P(\nu, Q)\}.$$

Gooding [1] calculated the dimension of the vector space  $T(\nu, Q)$  for reduced binary quadratic forms  $Q$ . Gaigalas [3] gets the upper bounds for the dimension of the space  $T(4, Q)$  and  $T(6, Q)$  for some diagonal quadratic forms. In [4-6] we established the upper bounds for the dimension of the space  $T(\nu, Q)$  for some quadratic forms of  $r$  variables, when  $r = 3, 4, 5$ , in a number of cases we calculated the dimension and form the bases of these spaces.

In this paper we form the basis of the space of spherical polynomials of second order  $P(2, Q)$  with respect to some diagonal quadratic form  $Q(X)$  of five variables and obtained the basis of the space of generalized theta-series  $T(2, Q)$  with spherical polynomial  $P$  of second order and diagonal quadratic form  $Q$  of five variables.

**2 The basis of the space  $P(2, Q)$  and  $T(2, Q)$ .** Let

$$Q(X) = b_{11}x_1^2 + b_{22}x_2^2 + b_{33}x_3^2 + b_{44}x_4^2 + b_{55}x_5^2,$$

where  $0 < b_{11} < b_{22} < b_{33} < b_{44} < b_{55}$  is a quadratic form of five variables. For these forms

$$D = \det A = 2^5 b_{11} b_{22} b_{33} b_{44} b_{55}, \quad a_{ii}^* = \frac{1}{2b_{ii}}, \quad a_{ij}^* = 0.$$

Let

$$P(X) = P(x_1, x_2, x_3, x_4, x_5) = \sum_{k=0}^{\nu} \sum_{i=0}^k \sum_{j=0}^i \sum_{l=0}^j a_{kijl} x_1^{\nu-k} x_2^{k-i} x_3^{i-j} x_4^{j-l} x_5^l$$

be a spherical function of order  $\nu$  with respect to the positive quadratic form  $Q(x_1, x_2, x_3, x_4, x_5)$  of five variables and let

$$L = [a_{0000}, a_{1000}, a_{1100}, a_{1110}, a_{1111}, a_{2000}, \dots, a_{\nu\nu\nu\nu}]^T$$

be the column vector, where  $a_{kijl}$  ( $0 \leq l \leq j \leq i \leq k \leq \nu$ ) are the coefficients of the polynomial  $P(X)$ .

We have [6], that  $\dim P(\nu, Q) = \binom{\nu+4}{4} - \binom{\nu+2}{4}$ . The polynomials (the coefficients of polynomial  $P_i$  are given in the brackets)

$$P_1(a_{0000}^{(1)}, a_{1000}^{(1)}, \dots, a_{\nu-2, \nu-2, \nu-2, \nu-2}^{(1)}, 1, 0, 0, \dots, 0),$$

$$P_2(a_{0000}^{(2)}, a_{1000}^{(2)}, \dots, a_{\nu-2, \nu-2, \nu-2, \nu-2}^{(2)}, 0, 1, 0, \dots, 0),$$

.....

$$P_t(a_{0000}^{(t)}, a_{1000}^{(t)}, \dots, a_{\nu-2, \nu-2, \nu-2, \nu-2}^{(t)}, 0, 0, 0, \dots, 1),$$

where the first  $\binom{\nu+2}{4}$  coefficients from  $a_{0000}$  to  $a_{\nu-2, \nu-2, \nu-2, \nu-2}$  are calculated through other  $\binom{\nu+4}{4} - \binom{\nu+2}{4} = t$  coefficients, form the basis of the space  $P(\nu, Q)$ .

The basis spherical polynomials of second order ( $\nu = 2$ ) with respect to  $Q(x_1, x_2, x_3, x_4, x_5)$  have the form:

$$\begin{aligned} P_1 &= a_{0000}^{(1)}x_1^2 + x_1x_2, & P_2 &= a_{0000}^{(2)}x_1^2 + x_1x_3, & P_3 &= a_{0000}^{(3)}x_1^2 + x_1x_4, \\ P_4 &= a_{0000}^{(4)}x_1^2 + x_1x_5, & P_5 &= a_{0000}^{(5)}x_1^2 + x_2^2, & P_6 &= a_{0000}^{(6)}x_1^2 + x_2x_3, \\ P_7 &= a_{0000}^{(7)}x_1^2 + x_2x_4, & P_8 &= a_{0000}^{(8)}x_1^2 + x_2x_5, & P_9 &= a_{0000}^{(9)}x_1^2 + x_3^2, \\ P_{10} &= a_{0000}^{(10)}x_1^2 + x_3x_4, & P_{11} &= a_{0000}^{(11)}x_1^2 + x_3x_5, & P_{12} &= a_{0000}^{(12)}x_1^2 + x_4^2, \\ P_{13} &= a_{0000}^{(13)}x_1^2 + x_4x_5, & P_{14} &= a_{0000}^{(14)}x_1^2 + x_5^2. \end{aligned}$$

Using (1) for  $P_i$  we have

$$P_5 = -\frac{b_{11}}{b_{22}}x_1^2 + x_2^2, \quad P_9 = -\frac{b_{11}}{b_{33}}x_1^2 + x_3^2, \quad P_{12} = -\frac{b_{11}}{b_{44}}x_1^2 + x_4^2, \quad P_{14} = -\frac{b_{11}}{b_{55}}x_1^2 + x_5^2$$

and for other  $P_i$  the coefficient  $a_{0000}^{(i)} = 0$ . They form the basis of the space of spherical polynomials of second order with respect to  $Q(x)$  and  $\dim P(2, Q) = \binom{6}{4} - \binom{4}{4} = 14$ .

Now we construct the corresponding generalized theta-series.

$$\begin{aligned} \vartheta(\tau, P_5, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} P_5(x) \right) z^n = \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \left( -\frac{b_{11}}{b_{22}}x_1^2 + x_2^2 \right) \right) z^n \\ &= 2\frac{b_{11}}{b_{22}}z^{b_{11}} + \dots + 2z^{b_{22}} + \dots + 0z^{b_{33}} + \dots + 0z^{b_{44}} + \dots + 0z^{b_{55}} + \dots, \\ \vartheta(\tau, P_9, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} P_9(x) \right) z^n = \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \left( -\frac{b_{11}}{b_{33}}x_1^2 + x_3^2 \right) \right) z^n \\ &= 2\frac{b_{11}}{b_{33}}z^{b_{11}} + \dots + 0z^{b_{22}} + \dots + 2z^{b_{33}} + \dots + 0z^{b_{44}} + \dots + 0z^{b_{55}} + \dots, \\ \vartheta(\tau, P_{12}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} P_{12}(x) \right) z^n = \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \left( -\frac{b_{11}}{b_{44}}x_1^2 + x_4^2 \right) \right) z^n \\ &= 2\frac{b_{11}}{b_{44}}z^{b_{11}} + \dots + 0z^{b_{22}} + \dots + 0z^{b_{33}} + \dots + 2z^{b_{44}} + \dots + 0z^{b_{55}} + \dots, \\ \vartheta(\tau, P_{14}, Q) &= \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} P_{14}(x) \right) z^n = \sum_{n=1}^{\infty} \left( \sum_{Q(x)=n} \left( -\frac{b_{11}}{b_{55}}x_1^2 + x_5^2 \right) \right) z^n \\ &= 2\frac{b_{11}}{b_{55}}z^{b_{11}} + \dots + 0z^{b_{22}} + \dots + 0z^{b_{33}} + \dots + 0z^{b_{44}} + \dots + 2z^{b_{55}} + \dots, \end{aligned}$$

These generalized theta-series are linearly independent since the determinant constructed from the coefficients of these theta-series is not equal to zero. In [6] we have  $\dim T(\nu, Q) \leq \binom{\frac{\nu}{2}+3}{3}$  and for  $\nu = 2$  we have  $\dim T(2, Q) \leq 4$ . Hence this theta-series form the basis of the space  $T(2, Q)$ . We have the following

**Theorem 1.**  $\dim T(2, Q) = 4$  and the generalized theta-series:

$$\vartheta(\tau, P_5, Q); \vartheta(\tau, P_9, Q); \vartheta(\tau, P_{12}, Q); \vartheta(\tau, P_{14}, Q)$$

form the basis of the space  $T(2, Q)$ .

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Author(s) address(es):

Ketevan Shavgulidze  
I. Javakhishvili Tbilisi State University  
University str. 13, 0186 Tbilisi, Georgia  
E-mail: ketevan.shavgulidze@tsu.ge