

RECEPTION AND INVESTIGATION OF FLEXURAL AND  
MEMBRANE  
EQUATIONS OF PLATES CONSISTING OF BINARY MIXTURE

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*Abstract*

A version of linear theory for a body composed of two isotropic homogeneous is studied. Two-dimensional flexural and membrane equations are received. Existence and uniqueness of weak solution of the main mixed boundary value problem is proved. It is shown that the particular flexures of two components of the mixture are equal.

*Key words and phrases:* Binary mixture, plate, weak solution.

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The theory of mixtures of elastic materials was originated in 1960. Main mechanical properties of new model of elastic medium with complicated internal structure were first formulated in the works of C. Truesdell and R. Toupin [1]. Later this theory was generalized and developed in many directions. Binary and multicomponent models of different type mixtures were created and studied by means of various mathematical methods. There are also being intensively developed the plane theories corresponding to the above-mentioned three-dimensional models.

In this paper, a version of linear theory for a body composed of two isotropic materials suggested by A.E. Green ([2],[3],[4]) is studied. For this types of plates, by means of Ciarlet-Destuynder method ([6],[7]), two-dimensional flexural and membrane equations are obtained. Existence and uniqueness of weak solution of main mixed boundary value problems are proved. It is worth mentioning that, in this case, particular flexures of two components of the mixture are equal.

We assume that an origin and orthonormal basis  $\{\mathbf{e}_i\}$  have been chosen in the three-dimensional Euclidean space, which will therefore be identified with the space  $\mathbb{R}^3$ .

Let  $\omega$  be a domain in the plane spanned by the vectors  $\mathbf{e}_\alpha$  (under domain we mean a bounded connected set with Lipschitz boundary), and let  $\varepsilon > 0$

be a dimensionless parameter that may be as small as we please. For each  $\varepsilon > 0$ , let

$$\Omega^\varepsilon := \omega \times ] - \varepsilon, \varepsilon[, \quad \Gamma_+^\varepsilon := \omega \times \{\varepsilon\}, \quad \Gamma_-^\varepsilon := \omega \times \{-\varepsilon\},$$

$$\gamma_0 \subset \partial\omega, \quad \text{length}\gamma_0 > 0, \quad \gamma_1 := \partial\omega - \gamma_0.$$

Let  $(x_1, x_2)$  and  $x^\varepsilon = (x_1, x_2, x_3^\varepsilon) = (x_i^\varepsilon)$  denote the generic points in the sets  $\bar{\omega}$  and  $\bar{\Omega}^\varepsilon$ ,

$$\partial_\alpha = \partial_\alpha^\varepsilon := \frac{\partial}{\partial x_\alpha} \quad \text{and} \quad \partial_3^\varepsilon := \frac{\partial}{\partial x_3^\varepsilon}.$$

From now on, we assume that the Latin indices take their values in the set  $\{1, 2, 3\}$ , Greek indices take their values in the set  $\{1, 2\}$ , and the repeated index means summation.

We assume that, for each  $\varepsilon > 0$ , the set  $\bar{\Omega}^\varepsilon$  is occupied by an elastic body which contains a mixture of two isotropic, homogeneous, elastic materials.

Statical equilibrium system of equations for a two-component mixture has the form

$$\begin{cases} -\partial_j^\varepsilon \sigma'_{ij}{}^\varepsilon + \pi_i^\varepsilon = \rho_1^\varepsilon F_i'{}^\varepsilon, \\ -\partial_j^\varepsilon \sigma''_{ij}{}^\varepsilon - \pi_i^\varepsilon = \rho_2^\varepsilon F_i''{}^\varepsilon \quad \text{in } \Omega^\varepsilon, \end{cases} \quad (1)$$

where

$$\begin{aligned} \sigma'_{ij}{}^\varepsilon &= \left( -\alpha_2^\varepsilon + \lambda_1^\varepsilon \partial_p^\varepsilon u_p'{}^\varepsilon + \lambda_3^\varepsilon \partial_q^\varepsilon u_q''{}^\varepsilon \right) \delta_{ij} + 2\mu_1^\varepsilon e'_{ij}{}^\varepsilon + 2\mu_3^\varepsilon e''_{ij}{}^\varepsilon + 2\lambda_5^\varepsilon h_{ij}^\varepsilon, \\ \sigma''_{ij}{}^\varepsilon &= \left( \alpha_2^\varepsilon + \lambda_4^\varepsilon \partial_p^\varepsilon u_p'{}^\varepsilon + \lambda_2^\varepsilon \partial_q^\varepsilon u_q''{}^\varepsilon \right) \delta_{ij} + 2\mu_3^\varepsilon e'_{ij}{}^\varepsilon + 2\mu_2^\varepsilon e''_{ij}{}^\varepsilon - 2\lambda_5^\varepsilon h_{ij}^\varepsilon, \end{aligned} \quad (2)$$

is Hooke's law,  $(\sigma'_{ij}{}^\varepsilon)$  and  $(\sigma''_{ij}{}^\varepsilon)$  are stress tensors,  $(e'_{ij}{}^\varepsilon)$  and  $(e''_{ij}{}^\varepsilon)$  are strain tensors;  $\rho_1^\varepsilon$  and  $\rho_2^\varepsilon$  are densities of components of applied body forces;  $\pi_i^\varepsilon$  are interaction forces between mixture components and

$$\pi_i^\varepsilon := \partial_i^\varepsilon \pi^\varepsilon,$$

where

$$\pi^\varepsilon = \frac{\alpha_2^\varepsilon \rho_2^\varepsilon}{\rho^\varepsilon} \partial_p^\varepsilon u_p'{}^\varepsilon + \frac{\alpha_2^\varepsilon \rho_1^\varepsilon}{\rho^\varepsilon} \partial_q^\varepsilon u_q''{}^\varepsilon, \quad \rho^\varepsilon = \rho_1^\varepsilon + \rho_2^\varepsilon;$$

$\mathbf{u}'{}^\varepsilon = (u_i'{}^\varepsilon)$ ,  $\mathbf{u}''{}^\varepsilon = (u_i''{}^\varepsilon)$  are displacement vector fields;  $\alpha_2^\varepsilon$ ,  $\lambda_1^\varepsilon$ ,  $\lambda_3^\varepsilon$ ,  $\lambda_4^\varepsilon$ ,  $\lambda_5^\varepsilon$ ,  $\mu_1^\varepsilon$ ,  $\mu_2^\varepsilon$ ,  $\mu_3^\varepsilon$  are elasticity modulus, furthermore

$$\alpha_2^\varepsilon = \lambda_3^\varepsilon - \lambda_4^\varepsilon;$$

$$e'_{ij}{}^\varepsilon = \frac{1}{2} \left( \partial_i^\varepsilon u_j'{}^\varepsilon + \partial_j^\varepsilon u_i'{}^\varepsilon \right), \quad e''_{ij}{}^\varepsilon = \frac{1}{2} \left( \partial_i^\varepsilon u_j''{}^\varepsilon + \partial_j^\varepsilon u_i''{}^\varepsilon \right); \quad (3)$$

+

$\delta_{ij}$  is Kroneker delta,  $(h_{ij}^\varepsilon)$  is the so called rotation tensor

$$h_{ij}^\varepsilon = \frac{1}{2} \left( \partial_i^\varepsilon u_j'^\varepsilon - \partial_j^\varepsilon u_i'^\varepsilon + \partial_j^\varepsilon u_i''^\varepsilon - \partial_i^\varepsilon u_j''^\varepsilon \right). \quad (4)$$

For simplicity, we introduce the following notations

$$P_{ij}'^\varepsilon := \sigma_{ij}'^\varepsilon - \delta_{ij}(\varepsilon - \alpha_2^\varepsilon), \quad P_{ij}''^\varepsilon := \sigma_{ij}''^\varepsilon + \delta_{ij}(\varepsilon - \alpha_2^\varepsilon), \quad (5)$$

$$P_{ij}^\varepsilon : = (P_{ij}'^\varepsilon, P_{ij}''^\varepsilon)^T, \quad u_j^\varepsilon := (u_j'^\varepsilon, u_j''^\varepsilon)^T, \quad (6)$$

$$e_{ij}^\varepsilon : = (e_{ij}'^\varepsilon, e_{ij}''^\varepsilon)^T, \quad h_{ij}^\varepsilon := (h_{ij}'^\varepsilon, h_{ij}''^\varepsilon)^T.$$

For every vector function  $(f', f'')^T$

$$\partial_j(f', f'')^T = (\partial_j f', \partial_j f'')^T. \quad (7)$$

By means of the notations (5), (6), relations (1)-(4) take the form

$$-\partial_j^\varepsilon P_{ij}^\varepsilon = F_i^\varepsilon \quad \text{in } \Omega^\varepsilon,$$

$$P_{ij}^\varepsilon = \Lambda^\varepsilon e_{pp}^\varepsilon \delta_{ij} + 2M^\varepsilon e_{ij}^\varepsilon + 2\lambda_5 h_{ij}^\varepsilon,$$

where

$$e_{ij}^\varepsilon = \frac{1}{2}(\partial_i^\varepsilon u_j^\varepsilon + \partial_j^\varepsilon u_i^\varepsilon),$$

$$h_{ij}^\varepsilon = \frac{1}{2}S(\partial_i^\varepsilon u_j^\varepsilon - \partial_j^\varepsilon u_i^\varepsilon), \quad S = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix},$$

$$\Lambda^\varepsilon := \begin{pmatrix} \lambda_1^\varepsilon - \frac{\alpha_2^\varepsilon \rho_2^\varepsilon}{\rho^\varepsilon} & \lambda_3^\varepsilon - \frac{\alpha_2^\varepsilon \rho_1^\varepsilon}{\rho^\varepsilon} \\ \lambda_4^\varepsilon + \frac{\alpha_2^\varepsilon \rho_2^\varepsilon}{\rho^\varepsilon} & \lambda_2^\varepsilon + \frac{\alpha_2^\varepsilon \rho_1^\varepsilon}{\rho^\varepsilon} \end{pmatrix}, \quad M^\varepsilon := \begin{pmatrix} \mu_1^\varepsilon & \mu_3^\varepsilon \\ \mu_3^\varepsilon & \mu_2^\varepsilon \end{pmatrix},$$

$$F_i^\varepsilon := (\rho_1^\varepsilon F_i'^\varepsilon, \rho_2^\varepsilon F_i''^\varepsilon)^T, \quad \lambda_3^\varepsilon - \frac{\alpha_2^\varepsilon \rho_1^\varepsilon}{\rho^\varepsilon} = \lambda_4^\varepsilon + \frac{\alpha_2^\varepsilon \rho_2^\varepsilon}{\rho^\varepsilon},$$

$$(\Lambda^\varepsilon)^T = \Lambda^\varepsilon, \quad (M^\varepsilon)^T = M^\varepsilon.$$

Consider the following problem

$$\begin{cases} -\partial_j^\varepsilon P_{ij}^\varepsilon = F_i^\varepsilon & \text{in } \Omega^\varepsilon, \\ u_i^\varepsilon = 0 & \text{on } \gamma_0 \times [-\varepsilon, \varepsilon], \\ P_{ij}^\varepsilon n_j^\varepsilon = \begin{cases} g_i^\varepsilon & \text{on } \Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon, \quad g_i^\varepsilon = (g_i'^\varepsilon, g_i''^\varepsilon)^T, \\ 0 & \text{on } \gamma_1 \times [-\varepsilon, \varepsilon], \end{cases} \end{cases} \quad (8)$$

where  $(n_j^\varepsilon)$  is the unit outer normal vector along the boundary of the set  $\Omega^\varepsilon$ .

**Lemma 1.** Problem (8), at least formally (1) is equivalent to the following variational problem  $P(\Omega^\varepsilon) : F_i^\varepsilon \in (L^2(\Omega^\varepsilon))^2, g_i^\varepsilon \in (L^2(\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon))^2$

$$\begin{aligned} \mathbf{v}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon) &:= \left\{ \mathbf{v}^\varepsilon = (v_1'^\varepsilon, v_2'^\varepsilon, v_3'^\varepsilon, v_1''^\varepsilon, v_2''^\varepsilon, v_3''^\varepsilon) \in (H^1(\Omega^\varepsilon))^6, \right. \\ &\quad \left. \mathbf{v}^\varepsilon = 0 \text{ on } \gamma_0 \times [-\varepsilon, \varepsilon] \right\}, \\ \int_{\Omega^\varepsilon} \left\{ (\Lambda^\varepsilon e_{pp}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{qq}^\varepsilon(\mathbf{v}^\varepsilon) + 2 (M^\varepsilon e_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{ij}^\varepsilon(\mathbf{v}^\varepsilon) - 2\lambda_5^\varepsilon h_{ij}^\varepsilon(\mathbf{u}^\varepsilon) h_{ij}^\varepsilon(\mathbf{v}^\varepsilon) \right\} dx^\varepsilon \\ &= \int_{\Omega^\varepsilon} (F_i^\varepsilon)^T v_i^\varepsilon dx^\varepsilon + \int_{\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon} (g_i^\varepsilon)^T v_i^\varepsilon d\Gamma^\varepsilon \quad \text{for all } \mathbf{v}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon). \end{aligned} \tag{9}$$

Proof. Multiplying both sides of (1') on the matrix  $v_i^\varepsilon = (v_i'^\varepsilon, v_i''^\varepsilon)^T$  and integrating them on  $\Omega^\varepsilon$ , one obtain

$$- \int_{\Omega^\varepsilon} \partial_j^\varepsilon (P_{ij}^\varepsilon)^T v_i^\varepsilon dx^\varepsilon = \int_{\Omega^\varepsilon} (F_{ij}^\varepsilon)^T v_i^\varepsilon dx^\varepsilon.$$

After using the Green formula and taking into account relation (2') and the boundary conditions, the following formula takes place

$$\begin{aligned} \int_{\Omega^\varepsilon} \left\{ (\Lambda^\varepsilon e_{pp}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{qq}^\varepsilon(\mathbf{v}^\varepsilon) + 2 (M^\varepsilon e_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{ij}^\varepsilon(\mathbf{v}^\varepsilon) + 2\lambda_5^\varepsilon (\tilde{h}_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T \partial_j^\varepsilon v_i^\varepsilon \right\} dx^\varepsilon \\ = \int_{\Omega^\varepsilon} (F_i^\varepsilon)^T v_i^\varepsilon dx^\varepsilon + \int_{\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon} (g_i^\varepsilon)^T v_i^\varepsilon d\Gamma^\varepsilon \quad \text{for all } \mathbf{v}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon). \end{aligned} \tag{10}$$

According to (4),  $h_{ij}^\varepsilon = -h_{ji}^\varepsilon$ , so that

$$\begin{aligned} (\tilde{h}_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T \partial_j^\varepsilon v_i^\varepsilon &= h_{ij}^\varepsilon \left( \partial_j^\varepsilon v_i'^\varepsilon - \partial_j^\varepsilon v_i''^\varepsilon \right) \\ &= \frac{1}{2} h_{ij}^\varepsilon \left( \partial_j^\varepsilon v_i'^\varepsilon - \partial_i^\varepsilon v_j'^\varepsilon - \partial_j^\varepsilon v_i''^\varepsilon + \partial_i^\varepsilon v_j''^\varepsilon \right) \\ &= -\frac{1}{2} h_{ij}^\varepsilon(\mathbf{u}^\varepsilon) \left( \partial_i^\varepsilon v_j'^\varepsilon - \partial_j^\varepsilon v_i'^\varepsilon + \partial_j^\varepsilon v_i''^\varepsilon - \partial_i^\varepsilon v_j''^\varepsilon \right) \\ &= -h_{ij}^\varepsilon(\mathbf{u}^\varepsilon) h_{ij}^\varepsilon(\mathbf{v}^\varepsilon), \\ (\tilde{h}_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T \partial_j^\varepsilon v_i^\varepsilon &= -h_{ij}^\varepsilon(\mathbf{u}^\varepsilon) h_{ij}^\varepsilon(\mathbf{v}^\varepsilon). \end{aligned}$$

By this, from (10), one obtains relation (9).

Now, if  $\mathbf{u}^\varepsilon$  is a sufficiently smooth solution of problem  $P(\Omega^\varepsilon)$ , then, analogously as above, we obtain that  $u^\varepsilon$  is a solution of problem (8). ■

**Lemma 2.** Let

$$W^\varepsilon(e_{ij}^\varepsilon, h_{ij}^\varepsilon) := (\Lambda^\varepsilon e_{pp}^\varepsilon)^T e_{qq}^\varepsilon + 2(M^\varepsilon e_{ij}^\varepsilon)^T e_{ij}^\varepsilon - 2\lambda_5 h_{ij}^\varepsilon h_{ij}^\varepsilon.$$

Then the estimate

$$W^\varepsilon(e_{ij}^\varepsilon, h_{ij}^\varepsilon) \geq \delta^\varepsilon ((e_{ij}^\varepsilon)^T e_{ij}^\varepsilon + h_{ij}^\varepsilon h_{ij}^\varepsilon), \quad \delta^\varepsilon > 0, \quad (11)$$

takes place if and only if

$$\lambda_5^\varepsilon < 0, \quad \mu_1^\varepsilon > 0, \quad \lambda_1^\varepsilon - \frac{\alpha_2^\varepsilon \rho_2^\varepsilon}{\rho^\varepsilon} + \frac{2}{3} \mu_1^\varepsilon > 0, \quad \det M^\varepsilon > 0, \quad \det \left( \Lambda^\varepsilon + \frac{2}{3} M^\varepsilon \right) > 0. \quad (12)$$

One can find the proof in [5].

**Lemma 3.** Let

$$\mathbf{B}^\varepsilon(\mathbf{u}^\varepsilon, \mathbf{v}^\varepsilon) := \int_{\Omega^\varepsilon} \left\{ (\Lambda^\varepsilon e_{pp}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{qq}^\varepsilon(\mathbf{v}^\varepsilon) + 2 (M^\varepsilon e_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{ij}^\varepsilon(\mathbf{v}^\varepsilon) - 2\lambda_5 h_{ij}^\varepsilon(\mathbf{u}^\varepsilon) h_{ij}^\varepsilon(\mathbf{v}^\varepsilon) \right\} dx^\varepsilon$$

and

$$\|\mathbf{v}^\varepsilon\|_{1, \Omega^\varepsilon} := \left\{ \int_{\Omega^\varepsilon} [(v_j^\varepsilon)^T v_j^\varepsilon + (\partial_i^\varepsilon v_j^\varepsilon)^T \partial_i^\varepsilon v_j^\varepsilon] dx^\varepsilon \right\}^{\frac{1}{2}}. \quad (13)$$

Then: a) The bilinear form  $\mathbf{B}^\varepsilon(\mathbf{u}^\varepsilon, \mathbf{v}^\varepsilon)$  for every  $\varepsilon$  is bounded, i.e.  $\exists k_1^\varepsilon > 0$  such that

$$|\mathbf{B}^\varepsilon(\mathbf{u}^\varepsilon, \mathbf{v}^\varepsilon)| \leq k_1^\varepsilon \|\mathbf{u}^\varepsilon\|_{1, \Omega^\varepsilon} \|\mathbf{v}^\varepsilon\|_{1, \Omega^\varepsilon}; \quad (14)$$

b) If condition (12) is fulfilled then the form  $\mathbf{B}^\varepsilon(\mathbf{u}^\varepsilon, \mathbf{v}^\varepsilon)$  is  $\mathbf{V}(\Omega^\varepsilon)$ -elliptic for every  $\varepsilon$ , i.e.,  $\exists k_2^\varepsilon > 0$  such that

$$\mathbf{B}^\varepsilon(\mathbf{v}^\varepsilon, \mathbf{v}^\varepsilon) \geq k_2^\varepsilon \|\mathbf{v}^\varepsilon\|_{1, \Omega^\varepsilon}^2 \quad (15)$$

for every  $\mathbf{v}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon)$ .

Proof. a) If one uses Cauchy-Schwarz inequality, for each  $\varepsilon > 0$ , there exists  $d^\varepsilon > 0$  such that

$$\mathbf{B}^\varepsilon(\mathbf{u}^\varepsilon, \mathbf{v}^\varepsilon) \leq d^\varepsilon \sum_{i,j=1}^3 \left[ \left( \int_{\Omega^\varepsilon} (\partial_i^\varepsilon u_j'^\varepsilon)^2 dx^\varepsilon \right)^{\frac{1}{2}} + \left( \int_{\Omega^\varepsilon} (\partial_i^\varepsilon u_j''^\varepsilon)^2 dx^\varepsilon \right)^{\frac{1}{2}} \right]$$

$$\begin{aligned} & \times \sum_{p,q=1}^3 \left[ \left( \int_{\Omega^\varepsilon} (\partial_p^\varepsilon v_q'^\varepsilon)^2 dx^\varepsilon \right)^{\frac{1}{2}} + \left( \int_{\Omega^\varepsilon} (\partial_p^\varepsilon v_q''^\varepsilon)^2 dx^\varepsilon \right)^{\frac{1}{2}} \right] \\ & \leq k_1^\varepsilon \|\mathbf{u}^\varepsilon\|_{1,\Omega^\varepsilon} \|\mathbf{v}^\varepsilon\|_{1,\Omega^\varepsilon}. \end{aligned}$$

b) Let condition (12) be fulfilled. By Korn's inequality with boundary conditions ([6]), for each  $\varepsilon > 0$  there exists  $\delta^\varepsilon > 0$ ,  $c^\varepsilon > 0$  such that

$$\begin{aligned} \frac{\delta^\varepsilon}{c^\varepsilon} \|\mathbf{v}^\varepsilon\|_{1,\Omega^\varepsilon}^2 & \leq \delta^\varepsilon \int_{\Omega^\varepsilon} (e_{ij}^\varepsilon(\mathbf{v}^\varepsilon))^T e_{ij}^\varepsilon(\mathbf{v}^\varepsilon) dx^\varepsilon \leq \delta^\varepsilon \int_{\Omega^\varepsilon} [(e_{ij}^\varepsilon(\mathbf{v}^\varepsilon))^T e_{ij}^\varepsilon(\mathbf{v}^\varepsilon) \\ & \quad + h_{ij}^\varepsilon(\mathbf{v}^\varepsilon) h_{ij}^\varepsilon(\mathbf{v}^\varepsilon)] dx^\varepsilon \leq \mathbf{B}^\varepsilon(\mathbf{v}^\varepsilon, \mathbf{v}^\varepsilon). \end{aligned}$$

Hence, we obtain (15), where  $k_2^\varepsilon = \frac{\delta^\varepsilon}{c^\varepsilon}$ . ■

**Theorem 1.** Let condition (12) be fulfilled. Assume furthermore that  $F_i^\varepsilon \in (L^2(\Omega^\varepsilon))^2$  and  $g_i^\varepsilon \in (L^2(\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon))^2$ . Then the variational problem  $P(\Omega^\varepsilon)$  has one and only one solution.

This solution can also be characterized as the unique solution of the minimization problem. Find  $\mathbf{u}^\varepsilon$  such that

$$\mathbf{u}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon) \text{ and } J^\varepsilon(\mathbf{u}^\varepsilon) = \inf_{\mathbf{v}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon)} J^\varepsilon(\mathbf{v}^\varepsilon), \text{ where}$$

$$\begin{aligned} J^\varepsilon(\mathbf{v}^\varepsilon) & := \frac{1}{2} \mathbf{B}^\varepsilon(\mathbf{v}^\varepsilon, \mathbf{v}^\varepsilon) - \mathbf{L}^\varepsilon(\mathbf{v}^\varepsilon), \\ \mathbf{L}^\varepsilon(\mathbf{v}^\varepsilon) & := \int_{\Omega^\varepsilon} (F_i^\varepsilon)^T v_i^\varepsilon dx^\varepsilon + \int_{\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon} (g_i^\varepsilon)^T v_i^\varepsilon d\Gamma^\varepsilon. \end{aligned}$$

Proof. For each  $\varepsilon > 0$  the linear functional  $L^\varepsilon : V(\Omega^\varepsilon) \rightarrow R$  is bounded. Thus, by Lemma 3 and Lax-Milgram lemma [6], we obtain that Theorem 1 holds true. ■

**Remark.** Lemma 3 and Theorem 1 hold also when  $\lambda_5^\varepsilon = 0$ .

Our aim is to study the behavior of the displacement fields  $\mathbf{u}^\varepsilon$  as  $\varepsilon \rightarrow 0$ . Since these fields are defined on the sets  $\overline{\Omega^\varepsilon}$ , which themselves vary with  $\varepsilon$ , it is natural that our first task is transformation of the problem  $P(\Omega^\varepsilon)$  into problems posed over a set independent from  $\varepsilon$ .

Accordingly, we let

$$\Omega := \omega \times ]-1, 1[, \quad \Gamma_+ := \omega \times \{1\}, \quad \Gamma_- := \omega \times \{-1\}.$$

Let  $x = (x_1, x_2, x_3)$  denote a generic point on the set  $\bar{\Omega}$  and let

$$\partial_i := \frac{\partial}{\partial x_i}.$$

With each point  $x \in \bar{\Omega}$ , we associate the point  $x^\varepsilon \in \bar{\Omega}^\varepsilon$  through the bijection

$$\pi^\varepsilon : x = (x_1, x_2, x_3) \in \bar{\Omega} \rightarrow x^\varepsilon = (x_i^\varepsilon) = (x_1, x_2, \varepsilon x_3) \in \bar{\Omega}^\varepsilon.$$

Note that

$$\partial_\alpha^\varepsilon = \partial_\alpha \text{ and } \partial_3^\varepsilon = \frac{1}{\varepsilon} \partial_3. \quad (16)$$

With the displacement field  $\mathbf{u}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon)$ , we associate the scaled displacement field  $\mathbf{u}(\varepsilon) : \bar{\Omega} \rightarrow \mathbb{R}^3$  defined by the scalings

$$u_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^2 u_\alpha(\varepsilon)(x) \text{ and } u_3^\varepsilon(x^\varepsilon) = \varepsilon u_3(\varepsilon)(x) \text{ for all } x^\varepsilon = \pi^\varepsilon x \in \Omega^\varepsilon. \quad (17)$$

We likewise associate with any vector field  $\mathbf{v}^\varepsilon = v_i^\varepsilon \in \mathbf{V}(\Omega^\varepsilon)$  the scaled vector field  $\mathbf{v} = (v_i) : \Omega \rightarrow \mathbb{R}^3$  defined by the scalings:

$$v_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^2 v_\alpha(x) \text{ and } v_3^\varepsilon(x^\varepsilon) = \varepsilon v_3(x) \text{ for all } x^\varepsilon = \pi^\varepsilon x \in \Omega^\varepsilon.$$

We make the following assumptions on the data, we require that the constants  $\rho_\alpha, \lambda_1, \dots, \lambda_5, \mu_i, \mu_2, \mu_3$  do not depend on  $\varepsilon$ , i.e.

$$\rho_\alpha^\varepsilon = \rho, \lambda_1^\varepsilon = \lambda_1, \dots, \lambda_5^\varepsilon = \lambda_5, \mu_1^\varepsilon = \mu_1, \mu_2^\varepsilon = \mu_2, \mu_3^\varepsilon = \mu_3,$$

furthermore we require that the applied body force density and the applied surface force density be of the following form

$$F_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^2 F_\alpha(x) \text{ and } F_3^\varepsilon(x^\varepsilon) = \varepsilon^3 F_3(x) \text{ for all } x^\varepsilon = \pi^\varepsilon x \in \Omega^\varepsilon,$$

$$g_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^3 g_\alpha(x) \text{ and } g_3^\varepsilon(x^\varepsilon) = \varepsilon^4 g_3(x) \text{ for all } x^\varepsilon = \pi^\varepsilon x \in \Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon.$$

Introduce the following denotations

$$\Lambda := \begin{pmatrix} \lambda_1 - \frac{\alpha_2 \rho_2}{\rho} & \lambda_3 - \frac{\alpha_2 \rho_1}{\rho} \\ \lambda_4 + \frac{\alpha_2 \rho_2}{\rho} & \lambda_2 + \frac{\alpha_2 \rho_1}{\rho} \end{pmatrix}, \quad M := \begin{pmatrix} \mu_1 & \mu_3 \\ \mu_3 & \mu_2 \end{pmatrix}.$$

Using the scaling of the displacement and assumptions on the data, we now reformulate the variational problem  $P(\Omega^\varepsilon)$  in the following equivalent form.

**Lemma 4.** The scaled displacement  $\mathbf{u}(\varepsilon)$  solves the following variational problem, called the scaled three-dimensional problem  $P(\varepsilon, \Omega)$

$$\begin{aligned}
 \mathbf{u}(\varepsilon) \in \mathbf{V}(\Omega) &:= \left\{ \mathbf{v} = (v_1', v_2', v_3', v_1'', v_2'', v_3'') \in (H^1(\Omega))^6, \right. \\
 &\quad \left. \mathbf{v} = 0 \text{ on } \gamma_0 \times [-1, 1] \right\}, \\
 &\int_{\Omega} \left\{ (\Lambda e_{\sigma\sigma}(\mathbf{u}(\varepsilon)))^T e_{\tau\tau}(\mathbf{v}) + 2(Me_{\alpha\beta}(\mathbf{u}(\varepsilon)))^T e_{\alpha\beta}(\mathbf{v}) \right. \\
 &\quad \left. - 2\lambda_5 h_{\alpha\beta}(\mathbf{u}(\varepsilon)) h_{\alpha\beta}(\mathbf{v}) dx \right\} \\
 &+ \frac{1}{\varepsilon^2} \int_{\Omega} \left\{ (\Lambda e_{\sigma\sigma}(\mathbf{u}(\varepsilon)))^T e_{33}(\mathbf{v}) + (\Lambda e_{33}(\mathbf{u}(\varepsilon)))^T e_{\tau\tau}(\mathbf{v}) \right. \\
 &\quad \left. + 4(Me_{\alpha 3}(\mathbf{u}(\varepsilon)))^T e_{\alpha 3}(\mathbf{v}) - 4\lambda_5 h_{\alpha 3}(\mathbf{u}(\varepsilon)) h_{\alpha 3}(\mathbf{v}) \right\} dx \\
 &+ \frac{1}{\varepsilon^4} \int_{\Omega} [(\Lambda + 2M)e_{33}(\mathbf{u}(\varepsilon))]^T e_{33}(\mathbf{v}) dx \\
 &= \mathbf{L}(\mathbf{v}) \tag{18}
 \end{aligned}$$

for all  $\mathbf{v} \in \mathbf{V}(\Omega)$ , where

$$e_{ij} := \frac{1}{2}(\partial_i v_j + \partial_j v_i), \quad h_{ij} := \frac{1}{2}(\partial_i v_j' - \partial_j v_i' + \partial_j v_i'' - \partial_i v_j''),$$

$$\mathbf{L}(\mathbf{v}) = \int_{\Omega} (F_i)^T v_i dx + \int_{\Gamma_+ \cup \Gamma_-} (g_i)^T v_i d\Gamma.$$

Proof. The relations (16), (17) and (18) altogether yield

$$\begin{aligned}
 &(\Lambda^\varepsilon e_{pp}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{qq}^\varepsilon(\mathbf{v}^\varepsilon) + 2(M^\varepsilon e_{ij}^\varepsilon(\mathbf{u}^\varepsilon))^T e_{ij}^\varepsilon(\mathbf{v}^\varepsilon) - 2\lambda_5^\varepsilon h_{ij}^\varepsilon(\mathbf{u}^\varepsilon) h_{ij}^\varepsilon(\mathbf{v}^\varepsilon) \\
 = &\varepsilon^4 \left\{ (\Lambda e_{\sigma\sigma}(\mathbf{u}(\varepsilon)))^T e_{\tau\tau}(\mathbf{v}) + \frac{1}{\varepsilon^2} (\Lambda e_{\sigma\sigma}(\mathbf{u}(\varepsilon)))^T e_{33}(\mathbf{v}) \right. \\
 &+ \frac{1}{\varepsilon^2} (\Lambda e_{33}(\mathbf{u}(\varepsilon)))^T e_{\tau\tau} + \frac{1}{\varepsilon^4} (\Lambda e_{33}(\mathbf{u}(\varepsilon)))^T e_{33}(\mathbf{v}) \left. \right\} \\
 &+ \varepsilon^4 \left\{ (Me_{\alpha\beta}(\mathbf{u}(\varepsilon)))^T e_{\alpha\beta}(\mathbf{v}) - 2\lambda_5 h_{\alpha\beta}(\mathbf{u}(\varepsilon)) h_{\alpha\beta}(\mathbf{v}) \right. \\
 &+ \frac{2}{\varepsilon^2} (Me_{\alpha 3}(\mathbf{u}(\varepsilon)))^T e_{\alpha 3}(\mathbf{v}) - \frac{4\lambda_5}{\varepsilon^2} h_{\alpha 3}(\mathbf{u}(\varepsilon)) h_{\alpha 3}(\mathbf{v}) \\
 &+ \frac{1}{\varepsilon^4} (Me_{33}(\mathbf{u}(\varepsilon)))^T e_{33}(\mathbf{v}) \left. \right\},
 \end{aligned}$$

$$(F_i^\varepsilon)^T v_i^\varepsilon = \varepsilon^4 (F_i)^T v_i, \quad (g_i^\varepsilon)^T v_i^\varepsilon = \varepsilon^5 (g_i)^T v_i.$$



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Furthermore

$$\int_{\Omega^\varepsilon} \theta(x^\varepsilon) dx^\varepsilon = \varepsilon \int_{\Omega} \theta(\pi^\varepsilon x) dx,$$

$$\int_{\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon} \theta(x^\varepsilon) d\Gamma^\varepsilon = \int_{\Gamma_+ \cup \Gamma_-} \theta(\pi^\varepsilon x) d\Gamma.$$

Besides,

$$\mathbf{u}^\varepsilon \in \mathbf{V}(\Omega^\varepsilon) \Leftrightarrow \mathbf{u}(\varepsilon) \in \mathbf{V}(\Omega).$$

For proof it suffices to combine these relations. ■

From Theorem 1 and Lemma 4 it follows that if the conditions

$$\lambda_5 < 0, \quad \mu_1 > 0, \quad \lambda_1 - \frac{\alpha_2 \rho_2}{\rho} + \frac{2}{3} \mu_1 > 0, \quad \det M > 0, \quad \det \left( \Lambda + \frac{2}{3} M \right) > 0$$

are fulfilled, for each  $\varepsilon > 0$ , the problem  $P(\varepsilon, \Omega)$  has a unique solution  $\mathbf{u}(\varepsilon)$ .

Now we prove an auxiliary lemma which we will use below.

**Lemma 5.** Let

$$A = \begin{pmatrix} a_1 & a_3 \\ a_3 & a_2 \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} b_1 & b_3 \\ b_3 & b_2 \end{pmatrix}$$

be a symmetric  $2 \times 2$  matrices such that  $\det A > 0$ ,  $\det B > 0$ ,  $a_1 > 0$ ,  $b_1 > 0$ . Then for every  $k > 0$ ,  $\det(A + kB) > 0$ .

Proof.

$$\det(A + kB) = a_1 a_2 - a_3^2 + k^2 (b_1 b_2 - b_3^2) + k(a_2 b_1 + a_1 b_2 - 2a_3 b_3).$$

As  $a_1, a_2, b_1, b_2 > 0$ , so

$$a_2 b_1 + a_1 b_2 \geq 2\sqrt{a_1 a_2 b_1 b_2} > 2a_3 b_3.$$

Therefore

$$\det(A + kB) > 0. \quad \blacksquare$$

From condition (12') and Lemma 5, it follows that

$$\det(\Lambda + 2M) > 0,$$

as  $\Lambda + \frac{2}{3}M$  and  $M$  are symmetric matrices.

Introduce the denotation

$$\Lambda^* = \begin{pmatrix} \lambda_1^* & \lambda_3^* \\ \lambda_3^* & \lambda_2^* \end{pmatrix} := \Lambda - \Lambda(\Lambda + 2M)^{-1}\Lambda.$$

The symmetry of this matrix follows from the symmetry of matrices  $\Lambda$  and  $\Lambda + 2M$ .

Together with condition (12') we will require the fulfillment of the following conditions

$$\lambda_1^* + \mu_1 > 0, \quad \det(\Lambda^* + M) > 0. \quad (19)$$

**Theorem 2.** Let conditions (12') and (19) be fulfilled. For each  $\varepsilon > 0$ , let  $\mathbf{u}(\varepsilon)$  denote the solution of problem  $P(\varepsilon, \Omega)$ . Then:

a) As  $\varepsilon \rightarrow 0$ , then family  $(\mathbf{u}(\varepsilon))_\varepsilon > 0$  converges weakly in the space

$$\mathbf{V}(\Omega) := \{ \mathbf{v} \in (H^1(\Omega))^6; \mathbf{v} = 0 \text{ on } \gamma_0 \times [-1, 1] \}.$$

b) Let  $\mathbf{u} = \lim_{\varepsilon \rightarrow 0} \mathbf{u}(\varepsilon)$ . Then  $\mathbf{u}$  satisfies and is the unique solution of the following problem  $P_{KL}(\Omega)$ :

$$\mathbf{u} \in \mathbf{V}_{KL}(\Omega) := \{ \mathbf{v} \in \mathbf{V}(\Omega); e_{i3}(\mathbf{v}) = 0, h_{\alpha 3}(\mathbf{v}) = 0 \text{ in } \Omega \},$$

$$\int_{\Omega} \left\{ (\Lambda^* e_{\sigma\sigma}(\mathbf{u}))^T e_{\tau\tau}(\mathbf{v}) + 2 (M e_{\alpha\beta}(\mathbf{u}))^T e_{\alpha\beta}(\mathbf{v}) - 2\lambda_5 h_{\alpha\beta}(\mathbf{u}) h_{\alpha\beta}(\mathbf{v}) \right\} dx = \mathbf{L}(\mathbf{v}), \quad \text{for all } \mathbf{v} \in \mathbf{V}_{KL}(\Omega),$$

c) The space  $\mathbf{V}_{KL}(\Omega)$  is equivalently defined as

$$\mathbf{V}_{KL}(\Omega) = \left\{ \mathbf{v} = (v_1', v_2', v_3', v_1'', v_2'', v_3''), v_3' = v_3'' = \eta_3^*, v_\alpha' = \eta_\alpha' - x_3 \partial_\alpha \eta_3^*, v_\alpha'' = \eta_\alpha'' - x_3 \partial_\alpha \eta_3^*, \text{ with } \eta_\alpha', \eta_\alpha'' \in H^1(\omega), \eta_3^* \in H^2(\omega), \eta_\alpha' = \eta_\alpha'' = \eta_3^* = \partial_\nu \eta_3^* = 0 \text{ on } \gamma_0 \right\},$$

where  $\partial_\nu$  denotes the outer normal derivative operator along  $\gamma$ . In particular, there exist functions  $\zeta_\alpha^T = (\zeta_\alpha'', \zeta_\alpha'') \in (H^1(\omega))^2$  and  $\zeta_3^T = (\zeta_3^*, \zeta_3^*) \in (H^2(\omega))^2$  satisfying  $\zeta_\alpha' = \zeta_\alpha'' = \zeta_3^* = \partial_\nu \zeta_3^* = 0$  on  $\gamma_0$  such that

$$u_\alpha' = \zeta_\alpha' - x_3 \partial_\alpha \zeta_3^*, \quad u_\alpha'' = \zeta_\alpha'' - x_3 \partial_\alpha \zeta_3^*, \quad \text{and} \quad u_3' = u_3'' = \zeta_3^* \text{ in } \Omega.$$

Let the functions  $e_{\alpha\beta}(\zeta_H)$ ,  $h_{\alpha\beta}(\zeta_H)$ ,  $g_i^\pm$  and  $q_\alpha \in (L^2(\omega))^2$  be defined by

$$e_{\alpha\beta}(\zeta_H) := \frac{1}{2}(\partial_\alpha \zeta_\beta + \partial_\beta \zeta_\alpha), \quad h_{\alpha\beta}(\zeta_H) := \frac{1}{2}(\partial_\alpha \zeta_\beta' - \partial_\beta \zeta_\alpha' + \partial_\beta \zeta_\alpha'' - \partial_\alpha \zeta_\beta''),$$

$$g_i^\pm := g_i(\cdot, \pm 1), p_i := \int_{-1}^1 F_i dx_3, q_\alpha := \int_{-1}^1 F_\alpha dx_3 + g_\alpha^+ - g_\alpha^-.$$

Then vector field  $\zeta = (\zeta'_1, \zeta'_2, \zeta''_1, \zeta''_2, \zeta_3^*)$  is obtained by solving two independent variational problems:

1. The function  $\zeta_3^*$  satisfies the scaled two-dimensional flexural equations:

$$\begin{aligned} \zeta_3^* \in V_3(\omega) &:= \{ \eta_3^* \in H^2(\omega), \eta_3^* = \partial_\nu \eta_3^* = 0 \text{ on } \gamma_0 \}, \\ \int_\omega \left\{ \frac{2}{3} \widehat{\lambda} \Delta \zeta_3^* \Delta \eta_3^* + \frac{4}{3} \widehat{\mu} \partial_{\alpha\beta} \zeta_3^* \partial_{\alpha\beta} \eta_3^* \right\} d\omega &= \int_\omega (p'_3 + p''_3) \eta_3^* d\omega \\ &- \int_\omega (q'_\alpha + q''_\alpha) \partial_\alpha \eta_3^* d\omega \text{ for all } \eta_3^* \in V_3(\omega), \end{aligned}$$

where

$$\widehat{\lambda} = \lambda_1^* + 2\lambda_3^* + \lambda_2^*, \quad \widehat{\mu} = \mu_1 + 2\mu_3 + \mu_2.$$

2. The vector field  $\zeta_H = (\zeta'_1, \zeta'_2, \zeta''_1, \zeta''_2)$  satisfies the scaled two-dimensional membrane equations

$$\zeta_H \in \mathbf{V}_H(\omega) := \{ \boldsymbol{\eta}_H = (\eta'_1, \eta'_2, \eta''_1, \eta''_2) \in (H_1(\omega))^4, \boldsymbol{\eta}_H = 0 \text{ on } \gamma_0 \},$$

$$\begin{aligned} &\int_\omega \{ 2(\Lambda^* e_{\sigma\sigma}(\zeta_H))^T e_{\tau\tau}(\boldsymbol{\eta}_H) + 4(M e_{\alpha\beta}(\boldsymbol{\eta}_H))^T e_{\alpha\beta}(\boldsymbol{\eta}_H) \\ &- 4h_{\alpha\beta}(\zeta_H) h_{\alpha\beta}(\boldsymbol{\eta}_H) \} d\omega \\ &= \int_\omega (p_\alpha)^T \eta_\alpha d\omega \text{ for all } \boldsymbol{\eta}_H \in \mathbf{V}_H(\omega), \end{aligned}$$

Proof. (i) Introduce the following notations

$$\boldsymbol{\kappa}(\varepsilon) := (\kappa_{ij}(\varepsilon)), \quad \bar{\mathbf{h}}(\varepsilon) := (\bar{h}_{ij}(\varepsilon)),$$

$$\kappa_{\alpha\beta}(\varepsilon) := e_{\alpha\beta}(\mathbf{u}(\varepsilon)), \quad \kappa_{\alpha 3}(\varepsilon) := \frac{1}{\varepsilon} e_{\alpha 3}(\mathbf{u}(\varepsilon)), \quad \kappa_{33}(\varepsilon) := \frac{1}{\varepsilon^2} e_{33}(\mathbf{u}(\varepsilon)),$$

$$\bar{h}_{\alpha\beta}(\varepsilon) := h_{\alpha\beta}(\mathbf{u}(\varepsilon)), \quad \bar{h}_{\alpha 3}(\varepsilon) := \frac{1}{\varepsilon} h_{\alpha 3}(\mathbf{u}(\varepsilon)). \quad (20)$$

Let us show that the norms

$$\|\mathbf{u}(\varepsilon)\|_{1,\Omega} = \left\{ \sum_i \left( \|u'_i(\varepsilon)\|_{1,\Omega}^2 + \|u''_i(\varepsilon)\|_{1,\Omega}^2 \right) \right\}^{\frac{1}{2}},$$

$$|\boldsymbol{\kappa}(\varepsilon)|_{0,\Omega} = \left\{ \sum_{i,j} \left( |\kappa'_{ij}(\varepsilon)|_{0,\Omega}^2 + |\kappa''_{ij}(\varepsilon)|_{0,\Omega}^2 \right) \right\}^{\frac{1}{2}},$$

$$|\bar{\mathbf{h}}(\varepsilon)|_{0,\Omega} = \left\{ \sum_{i,j} |\bar{h}_{ij}|_{0,\Omega}^2 \right\}^{\frac{1}{2}}$$

are bounded independently of  $\varepsilon$ , hence there exists a subsequence, still indexed by  $\varepsilon$  for denotational convenience, and there exists  $\mathbf{u} \in (H^1(\Omega))^6$ ,  $\boldsymbol{\kappa} \in ((L^2(\Omega))^{2 \times 1})_S^{3 \times 3}$ ,  $\bar{\mathbf{h}} \in (L^2(\Omega))_{AS}^{3 \times 3}$  (indices  $S$  and  $AS$  denote symmetric and antisymmetric matrices, respectively) such that

$$\mathbf{u}(\varepsilon) \rightharpoonup \mathbf{u} \text{ in } (H^1(\Omega))^6 \text{ as } \varepsilon \rightarrow 0, \text{ and } \mathbf{u} = 0 \text{ on } \gamma_0 \times [-1, 1],$$

$$\boldsymbol{\kappa}(\varepsilon) \rightharpoonup \boldsymbol{\kappa} \text{ in } ((L^2(\Omega))^{2 \times 1})_S^{3 \times 3} \text{ as } \varepsilon \rightarrow 0,$$

$$\bar{\mathbf{h}}(\varepsilon) \rightharpoonup \bar{\mathbf{h}} \text{ in } (L^2(\Omega))_{AS}^{3 \times 3} \text{ as } \varepsilon \rightarrow 0.$$

Strong and weak convergences are respectively denoted by  $\rightarrow$  and  $\rightharpoonup$ .

Let  $\mathbf{v} = \mathbf{u}(\varepsilon)$  in the variational equations of problem  $P(\varepsilon, \Omega)$  (see Lemma 4). They then take a remarkably simple form if they are expressed in above notations, viz.

$$\int_{\Omega} \{ (\Lambda \kappa_{pp}(\varepsilon))^T \kappa_{qq}(\varepsilon) + 2(M \kappa_{ij}(\varepsilon))^T \kappa_{ij}(\varepsilon) - 2\lambda_5 \bar{h}_{ij}(\varepsilon) \bar{h}_{ij}(\varepsilon) \} dx = \mathbf{L}(\mathbf{u}(\varepsilon)).$$

As conditions (12') are fulfilled, there exists  $\delta > 0$  such that (see Lemma 2)

$$\begin{aligned} \int_{\Omega} \{ (\Lambda \kappa_{pp}(\varepsilon))^T \kappa_{qq}(\varepsilon) + 2(M \kappa_{ij}(\varepsilon))^T \kappa_{ij}(\varepsilon) - 2\lambda_5 \bar{h}_{ij}(\varepsilon) \bar{h}_{ij}(\varepsilon) \} dx \\ \geq \delta (|\boldsymbol{\kappa}(\varepsilon)|_{0,\Omega}^2 + |\bar{\mathbf{h}}(\varepsilon)|_{0,\Omega}^2). \end{aligned}$$

We may also assume without loss of generality that  $\varepsilon \leq 1$ , hence we infer from Korn's inequality with boundary conditions that

$$\delta c \|\mathbf{u}(\varepsilon)\|_{1,\Omega}^2 \leq \delta |e(\mathbf{u}(\varepsilon))|_{0,\Omega}^2 \leq (|\boldsymbol{\kappa}(\varepsilon)|_{0,\Omega}^2 + |\bar{\mathbf{h}}(\varepsilon)|_{0,\Omega}^2)$$

$$\begin{aligned} & \leq \int_{\Omega} \{(\Lambda \kappa_{pp}(\varepsilon))^T \kappa_{qq}(\varepsilon) + 2(M \kappa_{ij}(\varepsilon))^T \kappa_{ij}(\varepsilon) - 2\lambda_5 \bar{h}_{ij}(\varepsilon) \bar{h}_{ij}(\varepsilon)\} dx \\ & \leq \|\mathbf{L}\|_{L((H^1(\Omega))^6, R)} \|\mathbf{u}(\varepsilon)\|_{1, \Omega}. \end{aligned}$$

This inequalities imply that the norms  $\|\mathbf{u}(\varepsilon)\|_{1, \Omega}$ ,  $\|\boldsymbol{\kappa}(\varepsilon)\|_{0, \Omega}$  and  $\|\bar{\mathbf{h}}(\varepsilon)\|_{0, \Omega}$  are bounded independently of  $\varepsilon$ .

(ii) The weak limit  $\mathbf{u} \in (H^1(\Omega))^6$  of this subsequence  $(\mathbf{u}(\varepsilon))_{\varepsilon > 0}$  belongs to the subspace

$$\begin{aligned} \mathbf{V}_{KL}(\Omega) = & \{ \mathbf{v} \in (H^1(\Omega))^6; e_{i3}(\mathbf{v}) = 0, h_{\alpha 3}(\mathbf{v}) = 0 \text{ in } \Omega, \\ & \mathbf{v} = 0 \text{ on } \gamma_0 \times [-1, 1] \} \end{aligned}$$

of the space  $\mathbf{V}(\Omega)$ .

Since the sequences  $(\boldsymbol{\kappa}(\varepsilon))_{\varepsilon > 0}$  and  $(\bar{\mathbf{h}}(\varepsilon))_{\varepsilon > 0}$  are bounded by (i), there exists a constant  $c$  independent of  $\varepsilon$  such that

$$|e_{\alpha 3}(\mathbf{u}(\varepsilon))|_{0, \Omega} \leq c\varepsilon, \quad |e_{33}(\mathbf{u}(\varepsilon))|_{0, \Omega} \leq c\varepsilon^2, \quad |h_{\alpha 3}(\mathbf{u}(\varepsilon))|_{0, \Omega} \leq c\varepsilon$$

by definitions. Hence  $e_{i3}(\mathbf{u}(\varepsilon)) \rightarrow 0$  in  $(L^2(\Omega))^2$  and  $h_{\alpha 3}(\mathbf{u}(\varepsilon)) \rightarrow 0$  in  $L^2(\Omega)$  and thus  $e_{i3}(\mathbf{u}(\varepsilon)) \rightarrow 0$  in  $(L^2(\Omega))^2$  and  $h_{\alpha 3}(\mathbf{u}(\varepsilon)) \rightarrow 0$  in  $L^2(\Omega)$ .

But  $\mathbf{u}(\varepsilon) \rightharpoonup \mathbf{u}$  in  $(H^1(\Omega))^6$  implies  $e_{i3}(\mathbf{u}(\varepsilon)) \rightarrow 0$  in  $(L^2(\Omega))^2$  and  $h_{\alpha 3}(\mathbf{u}(\varepsilon)) \rightarrow 0$  in  $L^2(\Omega)$ . Hence

$$e_{i3}(\mathbf{u}) = (e'_{i3}(\mathbf{u}), e''_{i3}(\mathbf{u}))^T = (0, 0)^T, \quad h_{\alpha 3}(\mathbf{u}) = 0.$$

(iii) Let  $\omega \in L^2(\Omega)$  be a function such that

$$\int_{\Omega} \omega \partial_3 v dx = 0 \text{ for all } v \in C^\infty(\bar{\Omega}),$$

that satisfy  $\mathbf{v} = 0$  on  $\gamma_0 \times [-1, 1]$ . Then  $\omega = 0$  [7].

(iv) The components of the weak limit  $\kappa_{ij} \in (L^2(\Omega))^2$  of the subsequence  $(\boldsymbol{\kappa}(\varepsilon))_{\varepsilon > 0}$  and the component weak limit  $\bar{h}_{ij} \in L^2(\Omega)$  of the subsequence  $(\bar{\mathbf{h}}(\varepsilon))_{\varepsilon > 0}$  satisfy

$$\kappa_{\alpha\beta} = e_{\alpha\beta}(\mathbf{u}), \quad \bar{h}_{\alpha\beta}(\mathbf{u}) = h_{\alpha\beta}(\mathbf{u}), \quad \kappa_{33} = -(\Lambda + 2M)\Lambda^{-1} e_{\sigma\sigma}(\mathbf{u}).$$

Since  $\kappa_{\alpha\beta}(\varepsilon) = e_{\alpha\beta}(\mathbf{u}(\varepsilon))$  and  $\mathbf{u}(\varepsilon) \rightharpoonup \mathbf{u}$  in  $(H^1(\Omega))^6$ , it follows that  $\kappa_{\alpha\beta} \rightharpoonup \kappa_{\alpha\beta} = e_{\alpha\beta}(\mathbf{u})$  in  $(L^2(\Omega))^2$  and  $\bar{h}_{\alpha\beta}(\varepsilon) \rightharpoonup h_{\alpha\beta} = h_{\alpha\beta}(\mathbf{u})$ .

The variational equations found in problem  $P(\varepsilon, \Omega)$  can be written as

$$\int_{\Omega} \{(\Lambda \kappa_{pp}(\varepsilon))^T \delta_{\alpha\beta} + 2(M \kappa_{\alpha\beta}(\varepsilon))^T - 2\lambda_5 (\bar{h}_{\alpha\beta}(\varepsilon))^T\} \partial_\alpha v_\beta dx$$

$$\begin{aligned}
& + \frac{1}{\varepsilon} \int_{\Omega} \{ (2(M\kappa_{\alpha 3}(\varepsilon))^T + 2\lambda_5(\bar{h}_{\alpha 3}(\varepsilon))^T) \partial_3 v_{\alpha} \\
& + (2(M\kappa_{\alpha 3}(\varepsilon))^T - 2\lambda_5(\bar{h}_{\alpha 3}(\varepsilon))^T) \partial_{\alpha} v_3 \} dx \\
& + \frac{1}{\varepsilon^2} \int_{\Omega} \{ (\Lambda\kappa_{\sigma\sigma}(\varepsilon))^T + [(\Lambda + 2M)\kappa_{33}(\varepsilon)]^T \} \partial_3 v_3 dx = \mathbf{L}(\mathbf{v}),
\end{aligned}$$

where

$$\bar{h}_{\alpha j} = (\bar{h}_{\alpha j}, \bar{h}_{j\alpha}).$$

Letting  $v_3 = 0$  in these equations and multiplyng by  $\varepsilon$ , we obtain:

$$\begin{aligned}
& \int_{\Omega} \{ 2(M\kappa_{\alpha 3}(\varepsilon))^T + 2\lambda_5(\bar{h}_{\alpha 3}(\varepsilon))^T \} \partial_3 v_{\alpha} dx = -\varepsilon \int_{\Omega} \{ \Lambda\kappa_{pp}(\varepsilon)\delta_{\alpha\beta} \\
& + 2M\kappa_{\alpha\beta}(\varepsilon) - 2\lambda_5\bar{h}_{\alpha\beta}(\varepsilon) \}^T \partial_{\alpha} v_{\beta} dx + \varepsilon L(v)
\end{aligned}$$

for all  $\mathbf{v} \in \mathbf{V}(\Omega)$  such that  $v_3 = 0$ . For each such  $v_i$  the left-hand side converges to

$$\int_{\Omega} \{ 2M\kappa_{\alpha 3} + 2\lambda_5\bar{h}_{\alpha 3} \}^T \partial_3 v_{\alpha} dx$$

as  $\varepsilon \rightarrow 0$  by the definition of weak convergence, and the right-hand side convergence is bounded.

Hence

$$\int_{\Omega} \{ 2M\kappa_{\alpha 3} + 2\lambda_5\bar{h}_{\alpha 3} \}^T \partial_3 v_{\alpha} dx = 0 \text{ for all } v_{\alpha} \in (H^1(\Omega))^2, v_3 = 0$$

that vanish on  $\gamma_0 \times [-1, 1]$  and thus

$$M\kappa_{\alpha 3} + \lambda_5\bar{h}_{\alpha 3} = 0 \quad (21)$$

by (iii).

Letting  $v_{\alpha} = 0$  in the variational equations and multiplying by  $\varepsilon^2$ , we likewise obtain

$$\begin{aligned}
& \int_{\Omega} \{ \Lambda\kappa_{\sigma\sigma}(\varepsilon) + (\Lambda + 2M)\kappa_{33}(\varepsilon) \}^T \partial_3 v_3 dx \\
& = -\varepsilon \int_{\Omega} \{ 2M\kappa_{\alpha 3}(\varepsilon) - 2\lambda_5\bar{h}_{\alpha 3}(\varepsilon) \}^T \partial_{\alpha} v_3 dx + \varepsilon^2 \mathbf{L}(\mathbf{v}) = 0,
\end{aligned}$$

+

for all  $\mathbf{v} \in \mathbf{V}(\Omega)$  such that  $v_\alpha = 0$ . Hence, passing to the limit as  $\varepsilon \rightarrow 0$ , gives

$$\int_{\Omega} \{\Lambda \kappa_{\sigma\sigma} + (\Lambda + 2M) \kappa_{33}\}^T \partial_3 v_3 dx = 0$$

for all  $v_3 \in (H^1(\Omega))^2$  that vanish on  $\gamma_0 \times [-1, 1]$  and thus

$$\kappa_{33} = -(\Lambda + 2M)^{-1} \Lambda e_{\sigma\sigma}(\mathbf{u})$$

by (iii). Since  $\kappa_{\sigma\sigma} = e_{\sigma\sigma}(\mathbf{u})$ , the assertion is established.

(v) The weak limit  $\mathbf{u} \in \mathbf{V}_{KL}(\Omega)$  satisfies the variational problem  $P_{KL}(\Omega)$  described in the statement of the theorem and it is a unique solution.

Restrict the functions  $\mathbf{v} = (v_i) \in \mathbf{V}(\Omega)$  appearing in the variational equations of problem  $P(\varepsilon, \Omega)$  to lie in the subspace  $\mathbf{V}_{KL}(\Omega)$  defined in (ii). Since  $e_{i3}(\mathbf{v}) = 0$  and  $h_{\alpha 3}(v) = 0$  in this case, these equations reduce to

$$\int_{\Omega} \{[\Lambda \kappa_{pp}(\varepsilon) \delta_{\alpha\beta} + 2M \kappa_{\alpha\beta}(\varepsilon)]^T e_{\alpha\beta}(\mathbf{v}) - 2\lambda_5 \bar{h}_{\alpha\beta}(\varepsilon) h_{\alpha\beta}(\mathbf{v})\} dx = \mathbf{L}(\mathbf{v})$$

for all  $\mathbf{v} \in \mathbf{V}_{KL}(\Omega)$ .

If  $\varepsilon \rightarrow 0$ , we obtain

$$\int_{\Omega} \{[\Lambda^* e_{\sigma\sigma}(\mathbf{u}) \delta_{\alpha\beta} + 2M e_{\alpha\beta}(\mathbf{u})]^T e_{\alpha\beta}(\mathbf{v}) - 2\lambda_5 h_{\alpha\beta}(\mathbf{u}) h_{\alpha\beta}(\mathbf{v})\} dx = \mathbf{L}(\mathbf{v})$$

for all  $\mathbf{v} \in \mathbf{V}_{KL}(\Omega)$ .

The bilinear form associated with problem  $P_{KL}(\Omega)$  is thus  $\mathbf{V}_{KL}(\Omega)$ -elliptic; hence problem  $P_{KL}(\Omega)$  has one and only one solution, as a consequence of the Lax-Milgram lemma.

(vi) Two definitions of the space  $\mathbf{V}_{KL}(\Omega)$  coincide, i.e.

$$\{\mathbf{v} \in \mathbf{V}(\Omega); e_{i3} = 0, h_{\alpha 3}(\mathbf{v}) = 0 \text{ in } \Omega\} = \left\{ \mathbf{v} = (v'_1, v'_2, v'_3, v''_1, v''_2, v''_3), \right.$$

$$v'_3 = v''_3 = \eta_3^*, v'_\alpha = \eta'_\alpha - x_3 \partial_\alpha \eta_3^*, v''_\alpha = \eta''_\alpha - x_3 \partial_\alpha \eta_3^*,$$

$$\left. \eta'_\alpha, \eta''_\alpha \in H^1(\omega), \eta_3^* \in H^2(\omega), \eta'_\alpha = \eta''_\alpha = \eta_3^* = \partial_\nu \eta_3^* = 0 \text{ on } \gamma_0 \right\}.$$

The relations  $e_{33} = \partial_3 v_3 = 0$  in  $\Omega$  and  $v_3 = 0$  on  $\gamma_0 \times [-1, 1]$  imply that there exists a function  $\eta_3 \in (H^1(\omega))^2$  such that  $\eta_3 = 0$  on  $\gamma_0$  and

$$v_3(x', x_3) = \eta_3(x') \text{ for almost all } (x', x_3) \in \Omega = \omega \times ]-1, 1[.$$

The equation  $\partial_\alpha v_3 + \partial_3 v_\alpha = 0$  imply that  $\partial_{33} v = -\partial_\alpha(\partial_3 v_3) = 0$  in  $\Omega$ . Hence there exist functions  $\eta_\alpha, \eta_\alpha^1 \in (H^1(\omega))^2$  such that  $\eta_\alpha = \eta_\alpha^1 = 0$  on  $\gamma_0$  and

$$v_\alpha(x', x_3) = \eta_\alpha(x') + x_3 \eta_\alpha^1(x') \text{ for almost all } (x', x_3) \in \Omega.$$

Since  $0 = \partial_\alpha v_3 + \partial_3 v_\alpha = \partial_\alpha \eta_3 + \eta_\alpha^1$ , we conclude that  $\eta_3 \in (H^2(\omega))^2$ . Since  $\partial_\alpha \eta_3 = -\eta_\alpha^1 \in (H^1(\omega))^2$ , and that  $\partial_\nu \eta_3 = 0$  on  $\gamma_0$  since  $\partial_\alpha \eta_3 = -\eta_\alpha^1 = 0$  on  $\gamma_0$ .

Since  $h_{\alpha 3} = 0$  we conclude that  $\partial_\alpha v'_3 - \partial_3 v'_\alpha + \partial_3 v''_\alpha - \partial_\alpha v''_3 = \partial_\alpha \eta'_3 + \partial_\alpha \eta''_3 - \partial_\alpha \eta''_3 - \partial_\alpha \eta''_3 = 0, \partial_\alpha(\eta'_3 - \eta''_3) = 0$  on  $\eta_3 = \eta''_3 = 0$  on  $\gamma_0$ . Hence  $\eta'_3 = \eta''_3 \equiv \eta_3^*$ . These relations imply that

$$v'_\alpha = \eta'_\alpha - x_3 \partial_\alpha \eta_3^*, v''_\alpha = \eta''_\alpha - x_3 \partial_\alpha \eta_3^*, \eta'_\alpha, \eta''_\alpha \in H^1(\omega), \eta_3^* \in H^2(\omega)$$

and

$$\eta'_\alpha = \eta''_\alpha = \eta_3^* = \partial_\nu \eta_3^* = 0 \text{ on } \gamma_0.$$

Hence the two definitions are equivalent.

(viii) The functions  $\zeta_3$  and  $\zeta_H = (\zeta'_1, \zeta'_2, \zeta''_1, \zeta''_2)$  satisfy the variational problems announced in the statement of the theorem.

Replacing the components  $u_i$  and  $v_i$  of the functions  $\mathbf{u}, \mathbf{v} \in \mathbf{V}_{KL}(\Omega)$  by

$$u_\alpha = \zeta_\alpha - x_3 \partial_\alpha \zeta_3, u_3 = \zeta_3, \text{ and } v_\alpha = \eta_\alpha - x_\alpha \partial_\alpha \eta_3, v_3 = \eta_3,$$

where

$$\zeta_3 := (\zeta_3^*, \zeta_3^*)^T, \eta_3 := (\eta_3^*, \eta_3^*)^T,$$

we obtain

$$e_{\alpha\beta}(\mathbf{u}) = e_{\alpha\beta}(\zeta_H) - x_3 \partial_{\alpha\beta} \zeta_3, e_{\alpha\beta}(\mathbf{v}) = e_{\alpha\beta}(\eta_H) - x_3 \partial_{\alpha\beta} \eta_3,$$

$$e_{\sigma\sigma}(\mathbf{u}) = e_{\sigma\sigma}(\zeta_H) - x_3 \Delta \zeta_3, e_{\tau\tau}(\mathbf{v}) = e_{\tau\tau}(\eta_H) - x_3 \Delta \zeta_3,$$

$$\begin{aligned} h_{\alpha\beta}(\mathbf{u}) &= \frac{1}{2} \left( \partial_\alpha \zeta'_\beta - \partial_\beta \zeta'_\alpha + \partial_\beta \zeta''_\alpha - \partial_\alpha \zeta''_\beta \right), h_{\alpha\beta}(\mathbf{v}) \\ &= \frac{1}{2} \left( \partial_\alpha \eta'_\beta - \partial_\beta \eta'_\alpha + \partial_\beta \eta''_\alpha - \partial_\alpha \eta''_\beta \right) \end{aligned}$$

and we find the desired variational equations simply by noticing that

$$\int_{-1}^1 dx_3 = 2, \int_{-1}^1 x_3 dx_3 = 0, \int_{-1}^1 x_3^2 dx_3 = \frac{2}{3}. \quad \blacksquare$$

The existence of the limit  $\mathbf{u}$  found in Theorem 2 provides de facto an existence theory for the limit scaled two-dimensional problem, hence for



both the scaled flexural and membrane equations. The uniqueness of their solution likewise follows from the uniqueness of the limit  $u$  established in the same theorem.

But below we give a "direct" proof of existence and uniqueness for each variational problem. We also write the two-dimensional boundary value problems that are, at least formally, equivalent to these variational problem.

**Theorem 3.** (a) Assume that  $p'_3 + p''_3, q'_\alpha + q''_\alpha \in L^2(\omega)$ . The scaled flexural equations of a linearly elastic plate, viz., find  $\zeta_3^*$  such that

$$\begin{aligned} \zeta_3^* \in V_3(\omega) &:= \{ \eta_3^* \in H^2(\omega), \eta_3^* = \partial_\nu \eta_3^* = 0 \text{ on } \gamma_0 \}, \\ \int_\omega \left\{ \frac{2}{3} \widehat{\lambda} \Delta \zeta_3^* \Delta \eta_3^* + \frac{4}{3} \widehat{\mu} \partial_{\alpha\beta} \zeta_3^* \partial_{\alpha\beta} \eta_3^* \right\} d\omega &= \int_\omega (p'_3 + p''_3) \eta_3^* d\omega \\ &- \int_\omega (q'_\alpha + q''_\alpha) \partial_\alpha \eta_3^* d\omega \text{ for all } \eta_3^* \in V_3(\omega), \end{aligned}$$

where

$$\widehat{\mu} > 0 \text{ and } \widehat{\lambda} + \frac{2}{3} \widehat{\mu} > 0, \quad (22)$$

has one and only one solution. If  $\gamma_0 = \gamma$ , the variational equations may also be written as

$$\frac{2}{3} (\widehat{\lambda} + 2\widehat{\mu}) \int_\omega \Delta \zeta_3^* \Delta \eta_3^* d\omega = \int_\omega (p'_3 + p''_3) \eta_3^* d\omega - \int_\omega (q'_\alpha + q''_\alpha) \partial_\alpha \eta_3^* d\omega.$$

(b) Assume that the boundary  $\gamma$  of  $\omega$ , the functions  $p'_3 + p''_3, q'_\alpha + q''_\alpha$  and the solution  $\zeta_3$  are smooth enough. Then  $\zeta_3$  is also a solution of the following boundary value problem

$$-\partial_{\alpha\beta} m_{\alpha\beta} = p'_3 + p''_3 + \partial_\alpha (q'_\alpha + q''_\alpha) \text{ in } \omega,$$

$$\zeta_3^* = \partial_\nu \zeta_3^* = 0 \text{ on } \gamma_0,$$

$$m_{\alpha\beta} \nu_\alpha \nu_\beta = 0 \text{ on } \gamma_1,$$

$$(\partial_\alpha m_{\alpha\beta}) \nu_\beta + \partial_\tau (m_{\alpha\beta} \nu_\alpha \tau_\beta) = -(q'_\alpha + q''_\alpha) \nu_\alpha \text{ on } \gamma_1,$$

where  $\gamma_1 = \gamma - \gamma_0$ ,  $(\nu_\alpha)$  is the unit outer normal vector along  $\gamma$ ,  $\tau_1 := -\nu_2, \tau_2 := \nu_1$

$$m_{\alpha\beta} := - \left\{ \frac{2}{3} \widehat{\lambda} \Delta \zeta_3^* \delta_{\alpha\beta} + \frac{4}{3} \widehat{\mu} \partial_{\alpha\beta} \zeta_3^* \right\} = -\frac{1}{3} a_{\alpha\beta\sigma\tau} \partial_{\sigma\tau} \zeta_3^*,$$

$$a_{\alpha\beta\sigma\tau} = \frac{2}{3}\widehat{\lambda}\delta_{\alpha\beta}\delta_{\sigma\tau} + 2\widehat{\mu}(\delta_{\alpha\sigma}\delta_{\beta\tau} + \delta_{\alpha\tau}\delta_{\beta\sigma}).$$

The partial differential equation satisfied by  $\zeta_3^*$  may be also written as a biharmonic equation

$$\frac{2}{3}(\widehat{\lambda} + 2\widehat{\mu})\Delta^2\zeta_3^* = p_3' + p_3'' + \partial_\alpha(q_\alpha' + q_\alpha'') \text{ in } \omega,$$

where  $\Delta^2 = \Delta\Delta = \partial_{\alpha\alpha}\partial_{\beta\beta}$  denotes the biharmonic operator.

Proof. (i) Let  $\omega$  be a domain in  $\mathbb{R}^2$ , and let  $\gamma_0$  be a measurable subset of  $\gamma$  with length  $\gamma_0 > 0$ . Then there exists a constant  $c > 0$  such that

$$c^{-1}\|\eta^*\|_{2,\omega} \leq |\eta^*|_{2,\omega}$$

for all  $\eta^* \in V_3(\omega)$ .

To see this, we first notice that the semi-norm  $|\cdot|_{2,\omega}$  is a norm in the space  $V_3(\omega)$ . For  $|\eta^*|_{2,\omega} = 0$  implies that

$$\eta^*(x_1, x_2) = a_0 + a_1x_2 + a_2x_2,$$

by a classical result from the distribution theory, the boundary conditions  $\eta^* = \partial_\nu\eta^* = 0$  on  $\gamma_0$  then imply that  $a_1 = a_2 = a_0 = 0$ , since length  $\gamma_0 > 0$  by assumption.

If the announced inequality is false, there exists a sequence  $(\eta^{*k})$  of functions  $\eta^{*k} \in V_3(\omega)$ ,  $k = 0, 1, \dots$ , such that

$$\|\eta^{*k}\|_{2,\omega} = 1 \text{ for all } k, \text{ and } \lim_{k \rightarrow \infty} |\eta^{*k}|_{2,\omega} = 0.$$

By the Rellich-Kondrasov theorem [7], there exists a subsequence  $(\eta^{*l})$  that converges in  $H^1(\omega)$ . Since each subsequence  $(\partial_{\alpha\beta}\eta^{*l})$  converges in  $L^2(\omega)$  (to 0), the subsequence is a Cauchy sequence in  $H^2(\omega)$ ; hence it converges to some element  $\eta^* \in V_3(\omega)$ . From  $|\eta^*|_{2,\omega} = \lim_{l \rightarrow \infty} |\eta^{*l}|_{2,\omega} = 0$ , we infer that  $\eta^* = 0$  since we have just showed that  $|\cdot|_{2,\omega}$  is a norm on  $V_3(\omega)$ , but this contradicts  $\|\eta^{*l}\|_{2,\omega}$  for all  $l$ .

Consequently, the bilinear form in the flexural equations in  $V_3(\omega)$  is elliptic, since

$$\begin{aligned} \int_{\omega} \frac{2}{3} \left\{ \widehat{\lambda}\Delta\eta^* \Delta\eta^* + 2\widehat{\mu}\partial_{\alpha\beta}\eta^* \partial_{\alpha\beta}\eta^* \right\} d\omega &= \int_{\omega} \frac{2}{3} \left\{ \left( \widehat{\lambda} + \frac{2}{3}\widehat{\mu} \right) \Delta\eta^* \Delta\eta^* \right. \\ &\left. - \frac{2}{3}\widehat{\mu}\partial_{\alpha\alpha}\eta^* \partial_{\beta\beta}\eta^* + 2\widehat{\mu}\partial_{\alpha\beta}\eta^* \partial_{\alpha\beta}\eta^* \right\} d\omega = \int_{\omega} \frac{2}{3} \left\{ \left( \widehat{\lambda} + \frac{2}{3}\widehat{\mu} \right) \Delta\eta^* \Delta\eta^* \right. \end{aligned}$$

$$\begin{aligned}
& + \frac{2}{3} \widehat{\mu} (\partial_{11} \eta^* - \partial_{22} \eta^*)^2 + \frac{2}{3} \widehat{\mu} (\partial_{11} \eta^{*2} + \partial_{22} \eta^{*2} + 6(\partial_{12} \eta^{*2})) \Big\} d\omega \\
& \geq \frac{4\widehat{\mu}}{3} |\eta^*|_{2,\omega}^2 \geq \frac{4\widehat{\mu}}{3} c^{-2} |\eta^*|_{2,\omega}^2 \text{ for all } \eta^* \in V_3(\omega)
\end{aligned}$$

by condition (22) (it follows from conditions (12') and (19)). The bilinear and linear forms in the flexural equations being continuous with respect to  $\|\cdot\|_{2,\omega}$ , the existence and uniqueness of a solution follow from the Lax-Milgram lemma.

If  $\gamma_0 = \gamma$ , the space  $V_3(\omega)$  coincides with  $H_0^2(\omega)$ . Since, by Green's formula.

$$\int_{\omega} \partial_{\alpha\beta} \varphi \partial_{\alpha\beta} \psi d\omega = - \int_{\omega} \partial_{\alpha\beta} \varphi \partial_{\alpha\beta} \psi d\omega = \int_{\omega} \partial_{\alpha\alpha} \varphi \partial_{\beta\beta} \psi d\omega$$

for all  $\varphi, \psi \in D(\omega)$  and  $D(\omega)$  is dense in  $H_0^2(\omega)$ , these relations remain valid for all  $\varphi, \psi \in H_0^2(\omega)$ . Hence the last assertion in part (a) is established.

(ii) In view of finding the boundary value problem solved by  $\zeta_3^*$ , we first note that the left-hand side of the variational equations may also be written as

$$\int_{\omega} \left\{ \frac{2}{3} \widehat{\lambda} \Delta \zeta \eta^* \Delta \eta^* + \frac{4\widehat{\mu}}{3} \partial_{\alpha\beta} \zeta^* \partial_{\alpha\beta} \eta^* \right\} d\omega = - \int_{\omega} m_{\alpha\beta} \partial_{\alpha\beta} \eta^* d\omega,$$

where  $m_{\alpha\beta}$  is defined in the theorem. Two applications of the Green formula then give

$$\begin{aligned}
- \int_{\omega} m_{\alpha\beta} \partial_{\alpha\beta} \eta^* d\omega &= - \int_{\omega} (\partial_{\alpha\beta} m_{\alpha\beta}) \eta^* d\omega + \int_{\gamma} (\partial_{\alpha} m_{\alpha\beta}) \nu_{\beta} \eta^* d\gamma \\
&\quad - \int_{\gamma} m_{\alpha\beta} \nu_{\alpha} \partial_{\beta} \eta^* d\gamma.
\end{aligned}$$

Since  $\partial_{\beta} \eta^* = \nu_{\beta} \partial_{\nu} \eta^* + \tau_{\beta} \partial_{\tau} \eta^*$ , we may write

$$- \int_{\gamma} m_{\alpha\beta} \nu_{\alpha} \nu_{\beta} d\gamma = \int_{\gamma} m_{\alpha\beta} \nu_{\alpha} \nu_{\beta} \partial_{\nu} \eta^* d\gamma + \int_{\gamma} m_{\alpha\beta} \nu_{\alpha} \tau_{\beta} \partial_{\tau} \eta^* d\gamma.$$

Observing that

$$\int_{\gamma} \varphi \partial_{\tau} \eta^* d\gamma = - \int_{\gamma} (\partial_{\tau} \varphi) \eta^* d\gamma, \text{ since } \int_{\gamma} \partial_{\tau} (\varphi \eta^*) d\gamma = 0.$$

So

$$- \int_{\omega} m_{\alpha\beta} \partial_{\alpha\beta} \eta^* d\omega = - \int_{\omega} (\partial_{\alpha\beta} m_{\alpha\beta}) \eta^* d\omega + \int_{\gamma} \{ (\partial_{\alpha} m_{\alpha\beta}) \nu_{\beta}$$

$$+ \partial_\tau(m_{\alpha\beta}\nu_\alpha\tau_\beta)\} \eta^* d\gamma - \int_\gamma m_{\alpha\beta}\nu_\alpha\nu_\beta\partial_\nu\eta^* d\gamma$$

is valid for all  $m_{\alpha\beta} \in H^2(\omega)$  and  $\eta^* \in H^2(\omega)$ .

For all  $q'_\alpha + q''_\alpha \in H^1(\omega)$  and  $\eta^* \in H^1(\omega)$  vanishing on  $\gamma_0$

$$- \int_\omega (q'_\alpha + q''_\alpha)\partial_\alpha\eta^* d\omega = \int_\omega \partial_\alpha(q'_\alpha + q''_\alpha)\eta^* d\omega - \int_{\gamma_1} (q'_\alpha + q''_\alpha)\nu_\alpha\eta^* d\gamma.$$

Hence part (b) is proved. ■

**Theorem 4.** Let the following conditions be fulfilled

$$\lambda_5 < 0, \mu_1 > 0, \lambda_1^* + \mu_1 > 0, \det M > 0, \det(\Lambda^* + M) > 0. \tag{23}$$

Then: (a) Assume that  $p_\alpha \in (L^2(\omega))^2$ . The scaled membrane equations, viz., find  $\zeta_H$  such that

$$\zeta_H \in \mathbf{V}_H(\omega) := \left\{ \boldsymbol{\eta}_H = (\eta'_1, \eta'_2, \eta''_1, \eta''_2) \in (H_1(\omega))^4, \boldsymbol{\eta}_H = 0 \text{ on } \gamma_0 \right\},$$

$$\begin{aligned} & \int_\omega \{2(\Lambda^* e_{\sigma\sigma}(\zeta_H))^T e_{\tau\tau}(\boldsymbol{\eta}_H) + 4(M e_{\alpha\beta}(\zeta_H))^T e_{\alpha\beta}(\boldsymbol{\eta}_H) \\ & - 4\lambda_5 h_{\alpha\beta}(\zeta_H) h_{\alpha\beta}(\boldsymbol{\eta}_H)\} d\omega \\ & = \int_\omega (p_\alpha)^T \eta_\alpha d\omega \text{ for all } (\boldsymbol{\eta}_H) \in V_H(\omega), \end{aligned}$$

where

$$e_{\alpha\beta}(\zeta_H) := \frac{1}{2}(\partial_\alpha\zeta_\beta + \partial_\beta\zeta_\alpha), \quad h_{\alpha\beta}(\zeta_H) = \frac{1}{2}(\partial_\alpha\zeta'_\beta - \partial_\beta\zeta'_\alpha + \partial_\beta\zeta''_\alpha - \partial_\alpha\zeta''_\beta),$$

have one and only one solution.

(b) A smooth enough solution  $\zeta_H$  of these equations is also a solution of the following boundary value problem:

$$-\partial_\beta n_{\alpha\beta} = p_\alpha \text{ in } \omega,$$

$$\zeta_H = 0 \text{ on } \gamma_0,$$

$$n_{\alpha\beta}\nu_\beta = 0 \text{ on } \gamma_1,$$

where

$$n_{\alpha\beta} := 2\Lambda^* e_{\sigma\sigma}(\zeta_H) \delta_{\alpha\beta} + 4M e_{\alpha\beta}(\zeta_H) + 4\lambda_5 \bar{h}_{\alpha\beta}(\zeta_H),$$

$$\bar{h}_{\alpha\beta}(\zeta_H) = (h_{\alpha\beta}(\zeta_H), h_{\beta\alpha}(\zeta_H))^T.$$

Proof. Let  $\omega$  be a domain in  $\mathbb{R}^2$ , and let  $\gamma_0$  be a measurable subset of  $\gamma = \partial\omega$  with length  $\gamma_0 > 0$ . Then there exists a constant  $c > 0$  such that

$$c^{-1} \|\boldsymbol{\eta}_H\|_{1,\omega} \leq |\mathbf{e}(\boldsymbol{\eta}_H)|_{0,\omega} \leq \left\{ \sum_{\alpha,\beta} (|e'_{\alpha\beta}|_{0,\omega}^2 + |e''_{\alpha\beta}|_{0,\omega}^2) \right\}^{\frac{1}{2}}$$

for all  $\boldsymbol{\eta}_H \in \mathbf{V}_H(\omega)$ .

To prove this two-dimensional Korn inequality, first we notice that the semi-norm  $|\mathbf{e}(\boldsymbol{\eta}_H)|_{0,\omega}$  is a norm on the space  $V_H(\omega)$ . For  $|\mathbf{e}(\boldsymbol{\eta}_H)|_{0,\omega} = 0$  implies that

$$\partial_{\alpha\beta} \eta_\alpha = \partial_\alpha e_{\beta\sigma}(\boldsymbol{\eta}_H) + \partial_\beta e_{\alpha\sigma}(\boldsymbol{\eta}_H) - \partial_\sigma e_{\alpha\beta}(\boldsymbol{\eta}_H) = 0 \text{ in } D'(\omega),$$

hence  $\eta_1(x_1, x_2) = a_1 - bx_2$  and  $\eta_2(x_1, x_2) = a_2 + bx_1$ , where  $a_\alpha = (a'_\alpha, a''_\alpha)^T$ ,  $b = (b', b'')^T$ . These relations, together with the boundary conditions  $\eta_\alpha = 0$  on  $\gamma_0$ , show that  $\boldsymbol{\eta}_H = 0$ .

If the announced inequality is false, there exists a sequence  $(\boldsymbol{\eta}_H^k)$  of functions  $\boldsymbol{\eta}_H^k \in \mathbf{V}_H(\omega)$ ,  $k = 0, 1, \dots$ , such that

$$\|\boldsymbol{\eta}_H^k\|_{1,\omega} = 1, \quad \text{for all } k \text{ and } \lim_{k \rightarrow 0} |\mathbf{e}(\boldsymbol{\eta}_H^k)|_{0,\omega} = 0.$$

By the Rellich-Kondrasov theorem, there exists a subsequence  $(\boldsymbol{\eta}_H^l)$  that converges in  $(L^2(\omega))^4$ . Since the subsequence  $(\mathbf{e}(\boldsymbol{\eta}_H^l))$  converges in  $(L^2(\omega)^{2 \times 1})_s^{2 \times 2}$  (to 0), the subsequence  $(\boldsymbol{\eta}_H^l)$  is a Cauchy sequence with respect to the norm

$$\boldsymbol{\eta}_H \rightarrow \{|\boldsymbol{\eta}_H|_{0,\omega}^2 + |\mathbf{e}(\boldsymbol{\eta}_H)|_{0,\omega}^2\}^{\frac{1}{2}}.$$

By the two-dimensional Korn inequality without boundary conditions, this norm is equivalent to the norm  $\|\cdot\|_{1,\omega}$  over the space  $\mathbf{V}_H(\omega)$ . Hence the  $(\boldsymbol{\eta}_H^l)$  is also Cauchy sequence with respect to  $\|\cdot\|_{1,\omega}$  and this converges to the some element  $\boldsymbol{\eta}_H \in \mathbf{V}_H(\omega)$ . From  $|\mathbf{e}(\boldsymbol{\eta}_H)|_{0,\omega} = \lim_{l \rightarrow \infty} |\mathbf{e}(\boldsymbol{\eta}_H^l)|_{0,\omega} = 0$ , we infer that  $\boldsymbol{\eta}_H = 0$ , since  $|e(\cdot)|_{0,\omega}$  is a norm on  $V_H(\omega)$ ; but this contradicts  $\|\boldsymbol{\eta}_H^l\|_{1,\omega} = 1$  for all  $l$ .

By conditions (27) and Lemma 2, there exists a constant  $\delta^* > 0$  such that

$$\begin{aligned} & \int_{\omega} \{2(\Lambda^* e_{\sigma\sigma}(\boldsymbol{\eta}_H))^T e_{\tau\tau}(\boldsymbol{\eta}_H) + 4(M e_{\alpha\beta}(\boldsymbol{\eta}_H))^T e_{\alpha\beta}(\boldsymbol{\eta}_H) \\ & \quad - 4h_{\alpha\beta}(\boldsymbol{\eta}_H) h_{\alpha\beta}(\boldsymbol{\eta}_H) d\omega\} \\ & \geq \delta^* (|\mathbf{e}(\boldsymbol{\eta}_H)|_{0,\omega}^2 + |\mathbf{h}(\boldsymbol{\eta}_H)|_{0,\omega}^2) \\ & \geq \delta^* c^{-2} \|\boldsymbol{\eta}_H\|_{1,\omega}^2 \text{ for all } \boldsymbol{\eta}_H \in \mathbf{V}_H(\omega). \end{aligned}$$

The existence and uniqueness of a solution then follow from Lax-Milgram lemma. Hence part (a) is proved.

(ii) In view of finding the boundary value problem solved by  $\zeta_H$ , we first note that the left-hand side of the variational equations may also be written as

$$\begin{aligned} & \int_{\omega} \{2(\Lambda^* e_{\sigma\sigma}(\boldsymbol{\eta}_H))^T e_{\tau\tau}(\boldsymbol{\eta}_H) + 4(M e_{\alpha\beta}(\boldsymbol{\eta}_H))^T e_{\alpha\beta}(\boldsymbol{\eta}_H) \\ & \quad - 4\lambda_5 h_{\alpha\beta}(\boldsymbol{\eta}_H) h_{\alpha\beta}(\boldsymbol{\eta}_H)\} d\omega \\ &= \int_{\omega} n_{\alpha\beta}^T \partial_{\beta} \eta_{\alpha} d\omega, \end{aligned}$$

where  $n_{\alpha\beta}$  is defined in the theorem. The Green formula

$$\int_{\omega} (n_{\alpha\beta})^T \partial_{\beta} \eta_{\alpha} d\omega = - \int_{\omega} (\partial_{\beta} n_{\alpha\beta})^T \eta_{\alpha} d\omega + \int_{\gamma_1} (n_{\alpha\beta} \nu_{\beta})^T \eta_{\alpha} d\gamma,$$

valid for all  $n_{\alpha\beta} \in (H^1(\omega))^2$  and  $\boldsymbol{\eta}_H \in \mathbf{V}_H(\omega)$ , then yields the partial differential equations and boundary conditions on  $\gamma_1$  that are satisfied by  $\zeta_H$ . Hence part (b) is proved. ■

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